

# Real-Time Backstepping Control for Fuel Cell Vehicle using Supercapacitors

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**Abstract** — A key issue of real-time applications is ensuring the operation by taking into account the stability constraints. For multi-source vehicles stability is impacted by the multi-source interactions. Backstepping control ensures stable control for most classes of nonlinear systems. Nevertheless, no Backstepping control in real-time has been yet proposed for multi-source vehicles. The objective of this paper is to apply the Backstepping control to a multi-source vehicle with fuel cell and supercapacitors for real-time implementation. A distribution criterion is used to allocate energy between sources. Experimental results demonstrate that the developed Backstepping control can be implemented in real-time conditions. The supercapacitors can thus help the fuel cell to meet the requirements of the load with a guarantee of system stability.

**Index Terms**—Keywords: Backstepping control, Electric vehicle, Fuel cell, Multi-source, Real-time, Ultracapacitor

## NOMENCLATURE

Variables		Subscripts	
$C$	Capacitance [F]	1,2,3	Loop index number
$c$	Positive constant [-]	bus	DC bus
$G$	Transfer function [-]	ed	Electric drive
$i$	Electric current [A]	fc	Fuel cell
$L$	Inductance [H]	ch	Chopper
$P$	Power [W]	s	Electrical source
$r$	Resistance [ $\Omega$ ]	sc	Supercapacitor
$u$	Voltage [V]	ts	Traction subsystem
$V$	Lyapunov control function [-]		
$\alpha$	Chopper duty cycle [-]		
$\eta$	Efficiency [%]		
$\theta$	Unknown parameter [-]		
$\Gamma$	Adaptation gain [-]		

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## I. INTRODUCTION

IN the last decade there has been a growing interest in Fuel Cell (FC) vehicles. By using hydrogen, FC vehicles are a promising solution to reduce greenhouse gases [1]. However, FC systems lead to slow dynamics with a reduced lifetime when they are subjected to fast power transients. Furthermore, the energy flow of FC systems is unidirectional, which does not allow to recover braking energy [2]. Hybridization of FC with other energy storage devices can thus improve the vehicle performances. A battery can be used as a secondary source to handle the power transients, to recover braking energy, to downsize the FC, to extend its lifetime and to reduce its cost. With its Mirai car, Toyota has chosen this technology using a Ni-MH battery pack [3]. Hybridization of a FC with SuperCapacitors (SC) as energy buffer represents another interesting solution. With their high specific power and power density as compared to battery, SC can assist a FC to meet the high power requirements [2], [4]. With its FCX, Honda has chosen this technology to supply additional power to its vehicle [5]. Henceforth industrial applications are taking advantages of both battery and SC to assist FC vehicles.

The control of FC vehicles using SC must take into account the constraints related to the strong energetic coupling among the sources. Both sources are indeed connected through a DC bus. It is necessary to control and manage the energy distribution between sources. Recently, attention has been paid to the control and energy management of FC/SC vehicles using PI controllers [6], [7], flatness control [4] and fuzzy logic controllers [8]. However, most of these propositions have been evaluated only in simulation. Furthermore, these studies do not intrinsically ensure stability, especially when saturation occurs [6]. It is well established that non-linear behaviors [9] affect the system stability. Instability can cause energy losses and potentially damage on the vehicle. To solve the stability issue, several authors have proposed to use energy-based Lyapunov control theory for the controller design [10], [11]. Energy or pseudo energy functions, called control Lyapunov functions, or *clf*, are then defined to ensure the system stability. The Lyapunov stability design technique leads indeed to stabilize Multiple Input Multiple Output (MIMO) systems. More recently, new researches consist to use an extension of Lyapunov technique with the so-called "Backstepping control". The key idea of Backstepping control is to divide the MIMO system into Single Input Single Output (SISO) subsystems to define a control scheme with cascaded loops [12], [13]. In a recent paper a Backstepping control of a FC/battery vehicle

has been proposed [14]. The authors designed two current loops, for the FC and the battery. The energetic coupling between both sources and the DC bus voltage is not considered in the control loop design. Both current loops are then controlled independently, which leads to a local stability for each control loop. The stability of the whole system is thus not guaranteed.

Coupled systems have to be divided in a clear way to develop a stabilizing control law with Backstepping control technique. Nonetheless, the choice of the division of Backstepping control relies on the expertise of the user. It was shown in [15] that EMR (Energetic Macroscopic Representation) is efficient to define a systematic control scheme while the Backstepping control design ensures stability. In [16] it was also shown that EMR can be used to define the cascaded loops of FC vehicle using SC. However, the energetic coupling between sources was managed without using the Backstepping control technique. Furthermore, the Backstepping control was designed in a global approach with a mathematical state representation. All the studies cited above were performed exclusively in simulation.

This paper deals with stable control for a fuel cell vehicle using supercapacitors with a Backstepping control technique. Prior to this paper no Backstepping control in real-time had ever been considered for multi-source vehicles. The simulation of the Backstepping control of the studied FC/SC vehicle was carried out in [16]. This paper focuses on experimental tests to verify feasibility and compare the Backstepping control performances with classical PI controllers. Based on [15] and [16], the control of the FC/SC vehicle is decomposed in a clear way to design the Backstepping control. The Backstepping control is thus applied separately to each control part. Experimental tests on a test bed are performed to assess the performances of the real-time developed Backstepping control. The remainder of the paper is organized as follows. Section II describes the studied FC/SC system and depicts its model, its EMR and the corresponding control scheme. Section III describes the Backstepping control technique. Section IV is devoted to the test bed of the system with a discussion on the experimental results.

## II. CONTROL ORGANIZATION

### A. Modeling

A 15 kW FC/SC vehicle is considered (Fig. 1). The Energy Storage Subsystem (ESS) is composed of the FC, the SC, their corresponding smoothing inductors and choppers and a DC bus capacitor. The FC is considered as a voltage source characterized by its static polarization curve, i.e. an experimentally validated static model [9]. A series R-C model is used to consider only the fast dynamics of the supercapacitors [17]. The equations of the ESS and vehicle model are summarized in Table 1 [16]. To deal with the Backstepping control of the coupled sources, the focus is put on the FC/SC electric parallel connection. This energetic coupling distributes the power of the FC and SC subsystems to the DC bus and to the load. The currents of the FC and SC choppers, respectively  $i_{fc\_ch}$  and  $i_{sc\_ch}$ , are added together to generate the source current  $i_s$ . It is

modelled by the Kirchoff's current law (5). The DC bus capacitor then sets its voltage  $u_{bus}$  on the system.

### B. Energetic Macroscopic Representation

EMR is a functional description of energetic systems for control purpose [15], [18], [19]. The system is divided into basic interacting subsystems. All elements are interconnected according to the action and reaction principle using exchange variables. The product of the action and reaction variables between two elements corresponds to the instantaneous power flow. Only the integral causality is considered in EMR. This property leads to defining accumulation elements by time dependent relationships, in which outputs are integral functions of inputs. Other elements are described using relationships without time dependence. The EMR of the studied vehicle has been proposed in [16] (upper part in Fig. 2). The FC, the SC and the traction subsystem are considered as electrical sources (green oval pictograms, cf. appendix). The choppers perform mono-domain conversions (orange square pictograms). The

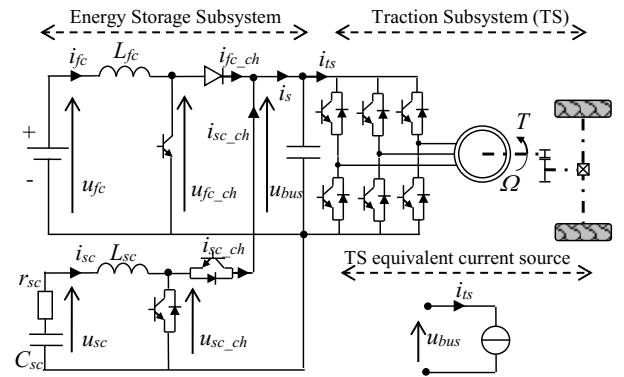


Fig. 1. Studied fuel cell/supercapacitors vehicle architecture

TABLE 1. MATHEMATICAL MODEL OF THE STUDIED FC/SC VEHICLE

DC bus	$u_{bus} = \frac{(i_s - i_{ts})}{C_{bus}s} = G_1(i_s - i_{ts})$	(1)
with $s$ the Laplace operator		
FC inductor	$i_{fc} = \frac{(u_{fc} - u_{fc\_ch})}{(L_{fc}s + r_{fc})}$	(2)
	$= G_2(u_{fc} - u_{fc\_ch})$	
SC inductor	$i_{sc} = \frac{(u_{sc} - u_{sc\_ch})}{(L_{sc}s + r_{sc})}$	(3)
	$= G_3(u_{sc} - u_{sc\_ch})$	
Choppers	$\begin{cases} u_{X\_ch} = \alpha_{X\_ch} u_{bus} \\ i_{X\_ch} = \alpha_{X\_ch} i_X \end{cases}$	(4)
	with $X \in [fc, sc]$	
	and $\alpha_{hX\_ch} \in \{0, 1\}$	
Coupling	$i_s = i_{fc\_ch} + i_{sc\_ch}$	(5)
Traction Subsystem	$i_{ts} = \frac{P_m(\eta_{ed})}{u_{bus}}$	(6)

parallel connection between the FC and the SC choppers is represented by a mono-domain distribution element (overlapping squares). The smoothing inductors and the DC bus capacitor are accumulation elements (orange rectangle pictograms with diagonal line). The DC bus voltage  $u_{bus}$ , the FC current  $i_{fc}$  and SC current  $i_{sc}$  are thus the state variables of the vehicle ESS.

### C. Inversion-based control scheme

From inversion rules, EMR can define an inversion-based control scheme. This kind of control is organized in two levels: local and global controls. The local control level, described by light blue parallelograms in Fig. 2, controls the components of the system. The global control level, described by a dark blue parallelogram in Fig. 2, coordinates the local control to manage the whole system. The main control objective is to impose the DC bus voltage  $u_{bus}$  to the system. Two tuning variables, the duty cycles  $\alpha_{fc\_ch}$  and  $\alpha_{sc\_ch}$ , are used to achieve this goal. The local control is then deduced by inverting the EMR from the DC bus voltage  $u_{bus}$  to the duty cycles  $\alpha_{fc\_ch}$  and  $\alpha_{sc\_ch}$ . The global control strategy block in Fig. 2, aims to manage the whole system by defining the distribution between the FC and the SC. The crossed blue parallelograms correspond to the inversion of accumulation elements using closed-loop controls. The blue parallelograms correspond to the inversion of conversion elements using open-loop control. The overlapped blue parallelograms correspond to the inversion of the energetic coupling of the sources.

Fig. 2 shows that 3 closed loop controllers are required to control the state variables  $i_{fc}$ ,  $i_{sc}$  and  $u_{bus}$ . Open-loop direct inversions are needed to invert the choppers. The reference current of the coupling inversion  $i_{s-ref}$  defines two variables required by the system to control the DC bus and to manage the energy flows: the currents of the supercapacitors chopper  $i_{sc\_ch}$  and of the fuel cell chopper  $i_{fc\_ch}$ . This distribution results from the inversion of the energetic coupling between the choppers (5). The inversion of this coupling requires a second input to implement an energy distribution criterion,  $k_D$  in (7).  $k_D$  is provided by an energy management strategy and it links the control scheme with the strategy block. It is the key to manage the whole system.

$$\begin{cases} i_{fc\_ch-ref} = k_D i_{s-ref} \\ i_{sc\_ch-ref} = i_{s-ref} - i_{fc\_ch-ref} \end{cases} \quad (7)$$

### D. Division of the Backstepping control

Backstepping control is composed of several recursive steps that gave the method its name [12]. The key idea is to divide the MIMO system into SISO subsystems to define a control scheme with cascaded loops. The cascade closed-loop control design is defined following Lyapunov stability conditions [24]. There is no dedicated procedure to design the Backstepping control for coupled systems. The inversion-based control of EMR defines a systematic control scheme and like for the Backstepping control, the inversion-based control is composed of cascaded loops. In [16] it was shown that EMR can be used to define directly the cascaded loops of Backstepping control. Nevertheless, the energetic coupling has not been

taken into account. Herein, the inversion-based control scheme is used to define the procedure of Backstepping control by taking into account the energetic coupling. In this way, three SISO subsystems can be considered for the Backstepping control design (Fig. 3):

- A) DC bus voltage loop (BS1);
- B) FC current loop (BS2);
- C) SC current loop (BS3).

The Backstepping control is then applied separately to each control part. Hence the inversion-based control structure of EMR allows dividing directly the procedure of the Backstepping control design.

## III. ADAPTIVE BACKSTEPPING CONTROL OF THE STUDIED FC/SC SYSTEM

### A. DC bus voltage loop (BS1)

First, the DC bus capacitor is considered with its equation (1). The system parameters can change and disturbances act upon the system. It is then appropriate to consider unknown parameters for real-time application [22], [23]. Let us introduce unknown parameter  $\theta_1$  into (8) to include resistance or capacitance uncertainties of the DC bus capacitor. Adaptive Backstepping control technique is then used to take uncertainties into account.

$$\dot{i}_{bus} = \frac{1}{C_{bus}} (i_s - i_{ts}) + \theta_1 \quad (8)$$

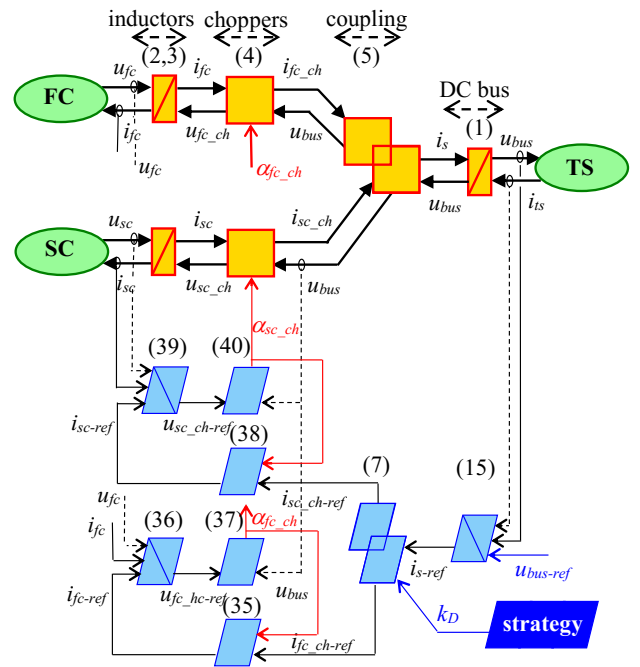


Fig. 2. EMR and control scheme of the studied vehicle

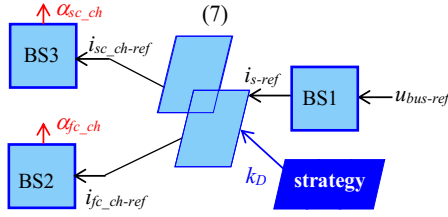


Fig. 3. Division of the Backstepping control

The objective is to deduce a local control law to control the voltage  $u_{bus}$  from the energy source current  $i_s$ . Error  $e_1$  is defined as:

$$\begin{cases} e_1 = u_{bus-ref} - u_{bus} \\ \dot{e}_1 = \dot{u}_{bus-ref} - \frac{1}{C_{bus}}(i_s - i_{ts}) - \theta_1 \end{cases} \quad (9)$$

As  $\theta_1$  is unknown, its estimation  $\hat{\theta}_1$  and estimation error  $\Delta\theta_1$  are introduced as:

$$\Delta\theta_1 = \theta_1 - \hat{\theta}_1 \quad (10)$$

Here, the variations of  $\theta_1$  are assumed to be slow. A control Lyapunov function (*clf*)  $V_1$  is proposed. It defines an image of the DC bus energy in respect with the Lyapunov-LaSalle theorems [25]:

$$\begin{cases} V_1 = \frac{1}{2} C_{bus} e_1^2 + \frac{1}{2} \Delta\theta_1 \Gamma_1^{-1} \Delta\theta_1 \\ \dot{V}_1 = C_{bus} e_1 \dot{e}_1 - \Delta\theta_1 \Gamma_1^{-1} \dot{\hat{\theta}}_1 \end{cases} \quad (11)$$

where  $\Gamma_1$  is a positive constant, which will be chosen in function of the desired performances.

Using (9) and (10), introducing variable  $i_{s-ref}$  and from the assumption of slow variations of  $\theta_1$ , (11) results into

$$\begin{aligned} \dot{V}_1 = e_1 & \left( C_{bus} \dot{u}_{bus-ref} - (\dot{i}_{s-ref} - \dot{i}_{ts}) + C_{bus} \dot{\hat{\theta}}_1 \right) + e_1 (i_{s-ref} - i_s) \\ & - C_{bus} e_1 \Delta\theta_1 - \Delta\theta_1 \Gamma_1^{-1} \dot{\hat{\theta}}_1 \end{aligned} \quad (12)$$

A term  $c_1 e_1$ , with  $c_1 \geq 0$ , is introduced to impose the Lyapunov stability condition  $\dot{V}_1 \leq 0$ :

$$\dot{V}_1 = -c_1 e_1^2 + e_1 (i_{s-ref} - i_s) \quad (13)$$

The impact of the term  $e_1 (i_{s-ref} - i_s)$  on the global system stability will be checked at the end of the Backstepping control process. A first local control law  $i_{s-ref}$  is deduced by identification of  $\dot{V}_1$  in (12) and (13):

$$-c_1 e_1 = C_{bus} \dot{u}_{bus-ref} - \dot{i}_{s-ref} + \dot{i}_{ts} - C_{bus} \dot{\hat{\theta}}_1 \quad (14)$$

$$i_{s-ref} = c_1 e_1 + C_{bus} \dot{u}_{bus-ref} + \dot{i}_{ts} - C_{bus} \dot{\hat{\theta}}_1 \quad (15)$$

and

$$\dot{\hat{\theta}}_1 = -C_{bus} e_1 \Gamma_1 \quad (16)$$

such that

$$-C_{bus} e_1 \Delta\theta_1 - \Delta\theta_1 \Gamma_1^{-1} \dot{\hat{\theta}}_1 = 0 \quad (17)$$

The first local control law output is reference current  $i_{s-ref}$

(15). It is defined through a controller consisting of a control law and an update law to obtain  $\hat{\theta}_1$ .  $i_{s-ref}$  is an input of the next local control loop.

### B. FC current loop (BS2)

From (2) and (4), a FC local control loop is required to control the currents  $i_{fc\_ch}$  from the boost chopper duty cycles  $\alpha_{fc\_ch}$  (16). The unknown parameter  $\theta_2$  is introduced to represent inductor or source model inaccuracies. In real-time,  $\alpha_{fc\_ch}$  varies at the rate of the sampling frequency.  $\alpha_{fc\_ch}$  is then assumed constant by parts so that from (2) and (4) we obtain

$$\dot{i}_{fc\_ch} = \alpha_{fc\_ch} \left( \frac{1}{L_{fc}} \left( u_{fc} - \alpha_{fc\_ch} u_{bus} - r_{fc} \frac{i_{fc\_ch}}{\alpha_{fc\_ch}} \right) + \theta_2 \right) \quad (18)$$

Error  $e_2$  is defined as:

$$\begin{cases} e_2 = i_{fc\_ch-ref} - i_{fc\_ch} \\ \dot{e}_2 = \dot{i}_{fc\_ch-ref} - \alpha_{fc\_ch} \left( \frac{1}{L_{fc}} \left( u_{fc} - \alpha_{fc\_ch} u_{bus} - r_{fc} \frac{i_{fc\_ch}}{\alpha_{fc\_ch}} \right) + \theta_2 \right) \end{cases} \quad (19)$$

To develop the current controller, *clf*  $V_2$  is defined as:

$$\begin{cases} V_2 = \frac{1}{2} \frac{L_{fc}}{\alpha_{fc\_ch}} e_2^2 + \frac{1}{2} \alpha_{fc\_ch} \Delta\theta_2 \Gamma_2^{-1} \Delta\theta_2 \\ \dot{V}_2 = \frac{L_{fc}}{\alpha_{fc\_ch}} e_2 \dot{e}_2 - \alpha_{fc\_ch} \Delta\theta_2 \Gamma_2^{-1} \dot{\hat{\theta}}_2 \end{cases} \quad (20)$$

where  $\Gamma_2$  is a positive constant, and

$$\Delta\theta_2 = \theta_2 - \hat{\theta}_2 \quad (21)$$

To impose the stability condition  $\dot{V}_2 \leq 0$  with constant  $c_2 \geq 0$

$$\dot{V}_2 = -c_2 e_2^2 / \alpha_{fc\_ch} \quad (22)$$

(20) and (22) are compared using (19), (20) and (21) and the assumption of slow variations of  $\theta_2$ , to develop the control law for  $\alpha_{fc\_ch}$  and the associated uncertainty estimator:

$$\frac{-c_2 e_2}{\alpha_{fc\_ch}} = L_{fc} \frac{\dot{i}_{fc\_ch-ref}}{\alpha_{fc\_ch}} + r_{fc} \left( \frac{i_{fc\_ch-ref}}{\alpha_{fc\_ch}} - \frac{e_2}{\alpha_{fc\_ch}} \right) \quad (23)$$

$$\begin{aligned} & -u_{fc} + \alpha_{fc\_ch} u_{bus} - L_{fc} \dot{\hat{\theta}}_2 \\ \alpha_{fc\_ch} = 1/u_{bus} & \left( -L_{fc} \frac{\dot{i}_{fc\_ch-ref}}{\alpha_{fc\_ch}} - r_{fc} \left( \frac{i_{fc\_ch-ref}}{\alpha_{fc\_ch}} - \frac{e_2}{\alpha_{fc\_ch}} \right) \right. \\ & \left. + u_{fc} - c_2 \frac{e_2}{\alpha_{fc\_ch}} + L_{fc} \dot{\hat{\theta}}_2 \right) \end{aligned} \quad (24)$$

and

$$\dot{\hat{\theta}}_2 = -L_{fc} e_2 \Gamma_2 / \alpha_{fc\_ch} \quad (25)$$

such that

$$-L_{fc} e_2 \Delta\theta_2 - \alpha_{fc\_ch} \Delta\theta_2 \Gamma_2^{-1} \dot{\hat{\theta}}_2 = 0$$

### C. SC current loop (BS3)

The SC local control law  $\alpha_{sc\_ch}$  is deduced in the same way as for the FC control loop. The unknown parameter  $\theta_3$  is added to represent other inductor or source model inaccuracies.  $\alpha_{sc\_ch}$  is assumed constant by parts:

$$\dot{i}_{sc\_ch} = \alpha_{sc\_ch} \left( \frac{1}{L_{sc}} \left( u_{sc} - \alpha_{sc\_ch} u_{bus} - r_{sc} \frac{i_{sc\_ch}}{\alpha_{sc\_ch}} \right) + \theta_3 \right) \quad (26)$$

$$\alpha_{sc\_ch} = 1/u_{bus} \left( -L_{sc} \frac{\dot{i}_{sc\_ch-ref}}{\alpha_{sc\_ch}} - r_{sc} \left( \frac{i_{sc\_ch-ref}}{\alpha_{sc\_ch}} - \frac{e_3}{\alpha_{sc\_ch}} \right) + u_{sc} - c_3 \frac{e_3}{\alpha_{sc\_ch}} + L_{sc} \hat{\theta}_3 \right) \quad (27)$$

and

$$\dot{\hat{\theta}}_3 = -L_{sc} e_3 \Gamma_3 / \alpha_{sc\_ch} \quad (28)$$

where  $c_3$  and  $\Gamma_3$  are positive constants and:

$$e_3 = i_{sc\_ch-ref} - i_{sc\_ch} \quad (29)$$

$$\Delta \theta_3 = \theta_3 - \hat{\theta}_3 \quad (30)$$

### D. Stability and controller scheme analysis

The global system stability is guaranteed if the derivative of the global  $c_l f V_{global}$  is negative (31). Replacing  $i_{s-ref}$  using (5), (7),  $e_2$  (19) and  $e_3$  (29) in (31) leads to (32).

$$\dot{V}_{global} = \dot{V}_1 + \dot{V}_2 + \dot{V}_3 \quad (31)$$

$$\begin{aligned} \dot{V}_{global} &= -c_1 e_1^2 - c_2 e_2^2 / \alpha_{fc\_ch} - c_3 e_3^2 / \alpha_{sc\_ch} + e_1 (i_{s-ref} - i_s) \\ &= - \begin{bmatrix} e_1 & e_2 & e_3 \end{bmatrix} A \begin{bmatrix} e_1 \\ e_2 \\ e_3 \end{bmatrix} \end{aligned} \quad (32)$$

with

$$A = \begin{bmatrix} c_1 & -\frac{1}{2} & -\frac{1}{2} \\ -\frac{1}{2} & c_2 / \alpha_{fc\_ch} & 0 \\ -\frac{1}{2} & 0 & c_3 / \alpha_{sc\_ch} \end{bmatrix} \quad (33)$$

From (33), the derivative of  $V_{global}$  is negative if the symmetrical matrix  $A$  is positive. Considering that  $\alpha_{fc\_sc\_ch} \in [0,1]$  and using the Sylvester criterion [26],  $A$  is positive and the global system is stable if the following conditions are satisfied:

$$\begin{aligned} c_2 &> 0 \\ c_3 &> 0 \\ c_1 &> \frac{1}{16c_2} + \frac{1}{16c_3} \end{aligned} \quad (34)$$

It should be noted that the introduction of the distribution criterion  $k_D$  from (7) does not alter these conditions if  $k_D$  dynamical variations are slower than the current loop dynamics.

From (24) and (27), the tuning inputs  $\alpha_{fc\_ch}$  and  $\alpha_{sc\_ch}$  control laws can be broken down into six parts to achieve the same form as the inversion-based control scheme (equation numbers are listed on Fig. 2):

$$i_{fc-ref} = i_{fc\_ch-ref} / \alpha_{fc\_ch} \quad (35)$$

$$u_{fc\_ch-ref} = -L_{fc} \dot{i}_{fc-ref} + L_{fc} \hat{\theta}_2 - r_{fc} \left( i_{fc-ref} - \frac{e_2}{\alpha_{fc\_ch}} \right) + u_{fc} - c_2 \frac{e_2}{\alpha_{fc\_ch}} \quad (36)$$

$$\alpha_{fc\_ch} = u_{fc\_ch-ref} / u_{bus} \quad (37)$$

$$i_{sc-ref} = i_{sc\_ch-ref} / \alpha_{sc\_ch} \quad (38)$$

$$u_{sc\_ch-ref} = -L_{sc} \dot{i}_{sc-ref} + L_{sc} \hat{\theta}_3 - r_{sc} \left( i_{sc-ref} - \frac{e_3}{\alpha_{sc\_ch}} \right) + u_{sc} - c_3 \frac{e_3}{\alpha_{sc\_ch}} \quad (39)$$

$$\alpha_{sc\_ch} = u_{sc\_ch-ref} / u_{bus} \quad (40)$$

The control laws defined by  $V_{global}$ , (15), (36) and (39) define three controller schemes, which depend on feedback constants  $c_i$  and integral update laws  $\hat{\theta}_i$  with gains  $\Gamma_i$ ,  $i=\{1,2,3\}$ . These integral functions result from the disturbance estimation with the unknown parameters  $\theta_1$ ,  $\theta_2$  and  $\theta_3$ . The resulting closed loop controllers on Fig. 2 take the form of Proportional Integral (PI) controllers  $C_{Pii}$  with proportional terms  $k_{pi}=f(c_i)$  and integral terms  $k_{ii}=f(\Gamma_i)$ ,  $i=\{1,2,3\}$ , to ensure the robustness of the system. The control schemes deduced from adaptive Backstepping control are then depending on PI controllers and parameters of the studied system. Compensation of the disturbance current  $i_{ts}$  and voltages  $u_{fc}$  and  $u_{sc}$  are also used. Finally anticipation terms, the inversion of the transfer functions  $G_i$ ,  $i=\{1,2,3\}$ , act on the reference state variables  $u_{bus-ref}$ ,  $i_{fc-ref}$  and  $i_{sc-ref}$  using derivative terms. Equations (15), (36) and (39) are then factored as follows:

$$i_{s-ref} = G_1^{-1} u_{bus-ref} + i_{ts} + e_1 C_{P11} \quad (41)$$

with  $C_{P11} = k_{p1} + k_{i1} / s = c_1 + (C_{bus}^2 \Gamma_1) / s$  and  $G_1^{-1} = C_{bus} s$

$$u_{fc\_ch-ref} = u_{fc} - (G_2^{-1} i_{fc-ref} + e_2 C_{P12} / \alpha_{fc\_ch}) \quad (42)$$

with  $C_{P12} = k_{p2} + k_{i2} / s = c_2 - r_{fc} + (L_{fc}^2 \Gamma_2) / s$  and  $G_2^{-1} = L_{fc} s + r_{fc}$

$$u_{sc\_ch-ref} = u_{sc} - (G_3^{-1} i_{sc-ref} + e_3 C_{P13} / \alpha_{sc\_ch}) \quad (43)$$

with  $C_{P13} = k_{p3} + k_{i3} / s = c_3 - r_{sc} + (L_{sc}^2 \Gamma_3) / s$  and  $G_3^{-1} = L_{sc} s + r_{sc}$

## IV. REAL-TIME VALIDATION

### A. Experimental setup

The simulation of the Backstepping control of the studied FC/SC vehicle has been carried out in [16]. Nevertheless, simulation studies are limited by modelling assumptions. Based on the traction characteristics of the Tazzari Zero battery electric vehicle [27], a reduced scale validation is proposed on an experimental platform (Fig. 4). It is composed of a 1.2 kW Ballard FC, a bank of Maxwell SC, two smoothing inductors, two choppers, and a controlled current source to emulate the traction subsystem (Fig. 1). The controlled current source is then chosen as a load drive with a ratio current reduction of 40



compared to the full-scale studied vehicle (Table 2). Voltages and currents are measured with classical LEM transducers. No additional numerical filters have been added.

### B. Energy management strategy

A filtering strategy is considered for the FC/SC power distribution to avoid fast FC power dynamics, which are limited by the FC air compressor supply. This kind of strategy is often used due to its simplicity and robustness for real-time implementation [28]. The FC power must be positive with a frequency below  $100\text{ mHz}$  to reduce stack faults and degradations [29]. The SC then provides the resulting transient power. Herein, a low-pass filter with cut-off frequency  $f_c=15\text{ mHz}$  is used for the distribution parameter  $k_D$ :

$$k_D = \frac{2\pi f_c}{2\pi f_c + s} \quad (44)$$

As a consequence,  $k_D$  has slow dynamics compare to the internal current loop, which satisfies stability conditions. From  $k_D$  and (7), the low-frequency source current part is provided by the FC and the high-frequency source current part by the SC (45). In addition, the distribution criterion is augmented to include a saturation function to impose  $i_{fc\_ch} > 0$ . This guarantees to have an exclusively positive power for the fuel cell. It may be noted that more advanced distribution strategies based on optimization methods could be proposed by changing the value of  $k_D$  [17].

$$i_{fc\_ch-ref} = \text{sat} \left( \frac{2\pi f_c}{2\pi f_c + s} i_{s-ref} \right) = \begin{cases} 0 & \text{if } \frac{2\pi f_c}{2\pi f_c + s} i_{s-ref} \leq 0 \\ \frac{2\pi f_c}{2\pi f_c + s} i_{s-ref} & \text{if } \frac{2\pi f_c}{2\pi f_c + s} i_{s-ref} > 0 \end{cases} \quad (45)$$

$$i_{sc\_ch-ref} = i_{s-ref} - i_{fc\_ch-ref}$$

### C. Results and Discussion

The developed Backstepping control scheme, the energy management strategy and the traction emulation are implemented in a dSPACE 1103 controller board using MATLAB-Simulink™. The sampling period is set to  $t_{samp}=200\ \mu\text{s}$ . It should be noted that the synchronized sampling naturally filters the discontinuous values of the traction system current  $i_{ts}$ . A standard driving cycle for light vehicles homologation, WLTC, for a class 2 vehicle is first considered (Fig. 5a). The controller parameters  $c_i$  and  $\Gamma_i$ ,  $i=\{1,2,3\}$ , are identified based on pole placement controller tuning design [30]. The roots of the second order characteristic equation of each control loop characterize the error dynamics transients, i.e. their poles. The poles are placed according to the desired response time.

The driving cycle imposes a traction current  $i_{ts}$  in function of the emulated vehicle characteristics and control (Fig. 6a). By the use of the distribution criterion  $k_D$ , the chosen filtering strategy leads to use the SC for fast and regenerative braking power transients while the FC handles low frequencies positive powers (Fig. 6b). All the powers, currents and voltages are plotted in per-unit.



Fig. 4. Experimental platform

TABLE 2. STUDIED FC/SC VEHICLE PARAMETERS

Full-scale FC/SC EV	
Fuel Cell	55-78 V / 20 kW
Supercapacitors	54 V, 130 F
Smoothing inductors	0.25 mH / 5.5 mΩ
DC bus capacitor	80 V / 53 mF
Electric drive	15 kW
Vehicle	811 kg
FeedBack constants	$c_1 = 0.26, c_2 = c_3 = 1.6$
Adaptation gains	$\Gamma_1 = 1.6 \cdot 10^4,$ $\Gamma_2 = \Gamma_3 = 8.04 \cdot 10^8$

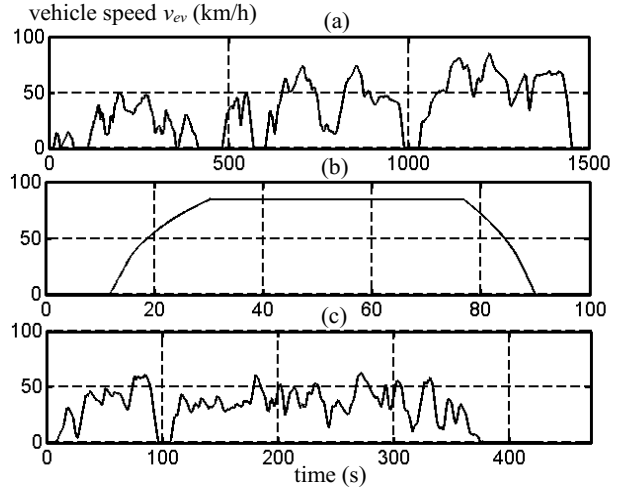


Fig. 5. Considered driving cycles  
(a) WLTC of class 2, (b) acceleration test, (c) real driving cycle

The DC bus power ( $P_{bus}=u_{bus} \cdot i_s$ ) is then compensated by both sources and reaches a maximum of  $1\ pu$  in traction and a minimum of  $-0.35\ pu$  in braking phase. The FC and SC voltages depend on their corresponding currents (Fig. 6c). It should be noted that the initial SC voltage  $u_{sc}$  at  $t=0\ s$  is equal to  $0.5\ pu$ . The final voltage  $u_{sc}$  at  $t=1,500\ s$  has the same value. The energy balance of the filtering strategy is zero because the electrical losses are negligible within the experimental period of  $1,500\ s$ .

The developed Backstepping control manages the coupling to maintain the DC bus voltage to  $80\ V=1\ pu$  (Fig. 7a). The DC bus voltage variation is  $\pm 5\%$ . The voltage drops are negligible with respect to the electric drive supply. At all time, the FC and the SC currents are well managed because they track their references delivered by the traction requirement (Fig. 7a

*b* and *c*). Experimental results demonstrate that the Backstepping control of the energetic coupling is implementable in real-time conditions. As expected, the real-time conditions do not affect the stability of the controlled system due to the real-time disturbance estimation and update into the controllers. In this way, the supercapacitors can help the fuel cell to meet the requirements of the load with a guarantee of system stability in real-time.

A comparison with classical PI controllers is proposed to show the improvement in term of transient behaviour. Fig. 8 compares the experimental control performance of the DC bus voltage  $u_{bus}$ , for the PI and backstepping based controllers and three driving cycles: WLTC, an acceleration test and an urban driving cycle from an on-road test realized around the University of Lille 1 (Fig. 5). The voltage tracking performances are close. However, the PI control (red curves) shows greater voltage oscillations, particularly when the power flow dynamics are important (purple framed areas in Fig. 8). Here, the emulated system has been properly designed. The control loops also respects the system time constants because an expertise of the system has been developed during this work. It is therefore logical, and even preferable, that both Backstepping and PI controllers have similar overall performances.

## V. CONCLUSION

This paper deals with a stable control for a fuel cell vehicle using supercapacitors with a Backstepping control technique for real-time implementation. EMR has been used to organize the Backstepping control scheme in a clear way for this coupled system. In this way, three Backstepping cascaded control loops, coupled by a distribution criterion, have been devised. Each Backstepping control loop has been designed to impose a local stable behavior. Moreover the global stability of the whole system has also been demonstrated. The developed Backstepping control has been validated in real-time on an experimental setup. Experimental results have shown that the supercapacitors can help the fuel cell to meet the power requirements with a guarantee of system stability for the cascaded loops. Moreover, if the same architecture is kept, the depicted method can be used for other hybrid vehicle as a fuel cell/battery vehicle without any additional consideration. As indicated in [31], the control organization of a battery / supercapacitor system could be the same. For the future, more advanced strategies could be used for the same control organization. Backstepping control laws include derivative operations that could be sensitive to large step reference variations. Additional work is required to manage saturation effects at the control law development stage.

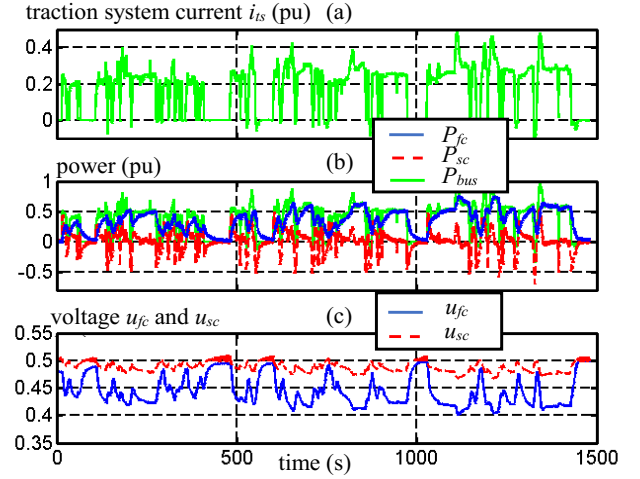


Fig. 6. Global experimental results for the WLTC driving cycle: traction current load, power distribution and fuel cell and supercapacitor voltages

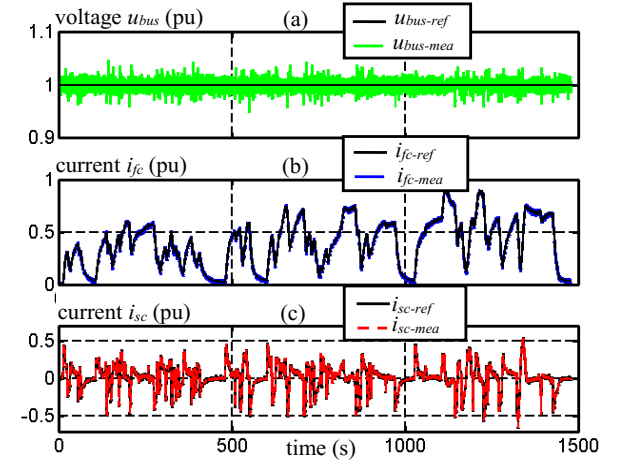


Fig. 7. State variables control for the WLTC driving cycle: DC bus voltage, fuel cell and supercapacitor currents

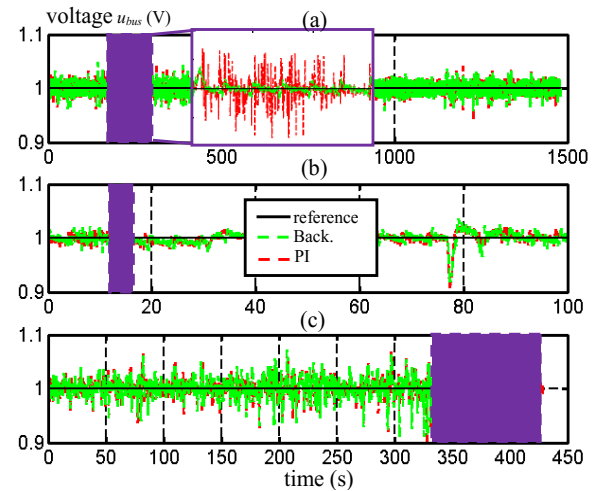
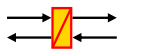

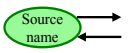
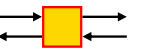
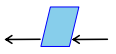

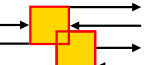
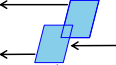



Fig. 8. DC bus voltage  $u_{bus}$  for PI and backstepping based controllers for three driving cycles. (a) WLTC of class 2, (b) acceleration test, (c) real driving cycle

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## APPENDIX: EMR PICTOGRAMS

	Energy accumulation (ex. inductor)		Closed-loop control		Energy source (ex. fuel cell)
	Mono-domain converter (ex. chopper)		Open-loop control		Sensor
	Energy distribution (mono-domain)		Coupling inversion with distribution criterion		Energy management





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