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#### RESEARCH ARTICLE



## Leaf nitrogen from the perspective of optimal plant function

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#### **Abstract**

- 1. Leaf dry mass per unit area (LMA), carboxylation capacity ( $V_{cmax}$ ) and leaf nitrogen per unit area ( $N_{area}$ ) and mass ( $N_{mass}$ ) are key traits for plant functional ecology and ecosystem modelling. There is however no consensus about how these traits are regulated, or how they should be modelled. Here we confirm that observed leaf nitrogen across species and sites can be estimated well from observed LMA and  $V_{cmax}$  at 25°C ( $V_{cmax25}$ ). We then test the hypothesis that global variations of both quantities depend on climate variables in specific ways that are predicted by leaf-level optimality theory, thus allowing both  $N_{area}$  to be predicted as functions of the growth environment.
- 2. A new global compilation of field measurements was used to quantify the empirical relationships of leaf N to  $V_{cmax25}$  and LMA. Relationships of observed  $V_{cmax25}$ and LMA to climate variables were estimated, and compared to independent theoretical predictions of these relationships. Soil effects were assessed by analysing biases in the theoretical predictions.
- 3. LMA was the most important predictor of  $N_{area}$  (increasing) and  $N_{mass}$  (decreasing). About 60% of global variation across species and sites in observed N<sub>area</sub>, and 31% in  $N_{mass}$ , could be explained by observed LMA and  $V_{cmax25}$ . These traits, in turn, were quantitatively related to climate variables, with significant partial relationships similar or indistinguishable from those predicted by optimality theory. Predicted trait values explained 21% of global variation in observed sitemean  $V_{\text{cmax}25}$ , 43% in LMA and 31% in  $N_{\text{area}}$ . Predicted  $V_{\text{cmax}25}$  was biased low on clay-rich soils but predicted LMA was biased high, with compensating effects on N<sub>area</sub>. N<sub>area</sub> was overpredicted on organic soils.

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4. Synthesis. Global patterns of variation in observed site-mean  $N_{area}$  can be explained by climate-induced variations in optimal  $V_{cmax25}$  and LMA. Leaf nitrogen should accordingly be modelled as a consequence (not a cause) of  $V_{cmax25}$  and LMA, both being optimized to the environment. Nitrogen limitation of plant growth would then be modelled principally via whole-plant carbon allocation, rather than via leaf-level traits. Further research is required to better understand and model the terrestrial nitrogen and carbon cycles and their coupling.

#### **KEYWORDS**

coordination hypothesis, ecosystem model, leaf mass per area, leaf nitrogen, least-cost hypothesis, nitrogen cycle, photosynthetic capacity

#### 1 | INTRODUCTION

An influential review (Field & Mooney, 1986) helped to establish the relationship between leaf nitrogen (N) and photosynthesis as a cornerstone of modern ecophysiological theory. Drawing on previous empirical studies and reviews, Field and Mooney (1986) considered the implications of observed correlations between the light-saturated photosynthetic rate (as a measure of photosynthetic capacity) and leaf N content, expressed on either an area or a mass basis. Numerous studies have confirmed the positive correlation between photosynthesis and leaf N at local, regional and global scales (see e.g. Evans, 1989; Niinemets, 1999; Wright et al., 2005). The correlation exists because photosynthetic processes depend on N-rich enzymes, and these—most importantly the primary carbon-fixing enzyme, ribulose-1,5-bisphosphate carboxylase/oxygenase (Rubisco)—comprise a substantial proportion of leaf N.

A heuristic stemming from work on herbaceous crops (Evans, 1983; Evans & Seemann, 1989) is that photosynthetic proteins together account for 50%-60% of leaf N, of which Rubisco alone accounts for 25-30%. In non-crop and woody species, however, Rubisco often contributes a smaller percentage of total leaf N than in crops. A recent estimated of N partitioning in a 'typical' C<sub>2</sub> leaf put the Rubisco contribution at 20%, and total photosynthetic N (in light-absorbing pigments, electron-transport proteins and enzymes of the Calvin cycle including Rubisco) at 54% of leaf N (Evans & Clarke, 2018). Onoda et al. (2017) indicated that Rubisco contributed on average <15% of leaf N across 70 woody evergreen species. They estimated mean N in cell walls as 15% of leaf N in woody evergreens (as large a contribution as Rubisco), and 11% across all species. They also found that the proportion of leaf N allocated to cell walls increases with leaf dry mass per unit area (LMA), pointing to the importance of structural as well as metabolic components of leaf N.

The representation of N cycling, with implications for primary production and its response to rising atmospheric CO<sub>2</sub> and regional N deposition, has become a priority in the development of dynamic global vegetation models (DGVMs)—including both 'offline' and coupled DGVMs (Meyerholt et al., 2020; Zaehle & Dalmonech, 2011). However, current DGVMs show large differences in their modelled

responses of primary production to carbon dioxide (CO2) and N enhancement, reflecting a fundamental lack of agreement on the processes involved (Davies-Barnard et al., 2020). Key areas that are not well understood include the controls of gains and losses of reactive nitrogen at the ecosystem level, and the relative importance of nitrogen supply (from the soil, and/or N-fixing symbionts) and demand (by plants) in determining leaf N. Many coupled carbon-nitrogen (C-N) models can reproduce the CO<sub>2</sub>-induced enhancement of tree growth in Free Air Carbon Enrichment (FACE) experiments but have been shown to do so via the wrong mechanism, that is, they rely on shifts in leaf-level stoichiometry to reduce N demand (Finzi et al., 2007; Medlyn et al., 2015; Zaehle et al., 2014) rather than increasing N uptake, which is the principal mechanism at the Duke Forest and Oak Ridge FACE sites (Medlyn et al., 2015; Norby et al., 2010; Zaehle et al., 2014). Dong, Wright, et al. (2022) quantified leaf-level N declines in response to CO<sub>2</sub> enrichment; however, increased NPP nonetheless creates increased N demand at the whole-plant level. Many C-N models rely on the hypothesis that photosynthetic capacity is determined by leaf N (Walker et al., 2014; Zaehle & Dalmonech, 2011), which, in turn, is determined by soil N availability (Luo et al., 2004). The causality of these relationships is open to question, however. They have not been extensively tested.

A perspective on plant functional traits based on ecoevolutionary optimality theory (Franklin et al., 2020; Harrison et al., 2021) suggests an alternative approach to the interpretation and modelling of leaf N, which we explore in this paper. We start from the observation that leaf N per unit leaf area (N<sub>area</sub>) is related to the amount of leaf tissue (indexed by LMA) and its metabolic activity (indexed by carboxylation capacity at 25°C, known as  $V_{cmax25}$ ). Then, we test the hypothesis that both LMA and  $V_{cmax25}$  adjust to the growth environment through some combination of phenotypic acclimation, genetic adaptation and environmental selection (Dong et al., 2020; Kikuzawa et al., 2013; Smith et al., 2019; Wang et al., 2017), approaching predictable community-mean values.  $V_{\rm cmax}$  is predicted here by combining the coordination hypothesis (Chen et al., 1993; Dong et al., 2017; Peng et al., 2021; Smith et al., 2019; Togashi et al., 2018)-that V<sub>cmax</sub> at growth temperatures acclimates so that photosynthesis

is (on average) neither limited by light nor by Rubisco activitywith the least-cost hypothesis-that stomatal behaviour minimizes the combined costs of maintaining both  $V_{cmax}$  and the water-transport pathway required to meet transpirational demand. Both costs can be expressed as functions of  $\chi$ , the ratio of leaf internal to ambient  $CO_2$ , allowing the optimal  $\chi$  to be predicted from environmental variables (Prentice et al., 2014). The value of  $\chi$ , in turn, determines how large  $V_{cmax}$  must be, to satisfy the coordination hypothesis (Wang et al., 2017). LMA is predicted here via a model based on Kikuzawa's (1991) hypothesis that leaf life span maximizes lifetime-average net carbon gain after deduction of amortized construction costs, Wang et al. (2021) extended this hypothesis to a prediction of how the leaf economics spectrum (the relationship between LMA and leaf life span), and the expected distribution of LMA values, shift as a function of the growth environment.

Dong et al. (2017) showed that more than half of the variance in N<sub>area</sub> across multiple plant types and environments in Australia could be accounted for by a linear combination of measured LMA and optimal  $V_{cmax25}$  values as predicted via the coordination hypothesis. A similar approach has been used to predict observed trends in  $N_{\text{area}}$ , based on field measurements of both quantities, along regional transects in the Andes (South America) and Gongga (western China) mountains, respectively (Peng et al., 2020; Xu et al., 2021). Here we present an analysis of a large, newly compiled global leaf N dataset, with associated gas-exchange measurements for all species. Our analysis proceeded in steps as follows. First, we analysed how leaf N depends statistically on measured LMA and V<sub>cmax25</sub>, thereby testing and quantifying Dong et al.'s (2017) hypothesis-that N<sub>area</sub> can be well approximated as the sum of components proportional to V<sub>cmax25</sub> and LMA, respectively—at a global scale. Second, we analysed how variations in site-mean  $V_{\rm cmax25}$  and LMA depend statistically on climate, and compared these empirical dependencies with those independently predicted by leaf-level optimality theory. Combining these first two steps, we then tested the extent to which variations in site-mean N<sub>area</sub> could be predicted from climate via independently predicted site-mean values of  $V_{cmax25}$  and LMA. Noting the evidence for effects of soil fertility factors on optimal leaf traits (Paillassa et al., 2020), we also analysed the extent to which deviations from climate-based predictions for  $V_{cmax25}$ , LMA and  $N_{area}$  were linked to soil clay content, pH and C:N ratio as an inverse proxy for N availability.

Our analysis focuses principally on  $N_{area}$  because of its relationship to photosynthetic light absorption. However, we carried out additional statistical analyses of leaf N per unit mass ( $N_{mass}$ ), which relates to tissue stoichiometry and (unlike  $N_{area}$ ) is measured independently of LMA. Osnas et al. (2013) provided a theoretical analysis of the relative merits of area- and mass-based expressions of leaf nutrients and photosynthetic traits. They indicated that area-based expressions were generally more appropriate, but also that leaf N includes both an area-proportional and a mass-proportional component, consistent with our approach.

#### 2 | MATERIALS AND METHODS

#### 2.1 | Trait data

A global dataset of observed  $V_{cmax}$  ( $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>),  $N_{area}$  (g m<sup>-2</sup>),  $N_{mass}$ (gg<sup>-1</sup>) and LMA (gm<sup>-2</sup>) values in field-grown mature C<sub>2</sub> plantsexcluding crops (which, we surmised, might show different trait-trait and trait-environment relationships due to high levels of N fertilization) and C<sub>4</sub> and CAM plants—was assembled by merging the independent sets of measurements in several previous data compilations by the authors with additional data from literature. For details of source datasets, see Table S1. Figure S1 shows the distribution of samples in geographical and climatic space. In total, the measurements cover 3091 species at 300 sites. The data analysed for each site are mean trait values for all sampled individuals of each species. We also analysed site-mean trait values, as simple averages of species-mean values over all species present. Information on plant growth form, N-fixation status, phenological type (deciduous/evergreen) and photosynthetic pathway was obtained from source studies or the TRY database (Kattge et al., 2020). The term 'woody' here refers to tree, shrub, liana and hemiepiphyte growth forms.

Standard practice as described in the published sampling protocols has been to sample fully mature, outer-canopy leaves exposed to direct sunlight. However, leaves sampled in the outer canopy of understorey species may receive little sunlight (Keenan & Niinemets, 2016). This is potentially problematic for large-scale analyses because field data generally include species at all canopy levels, while the specific light climates of the sampled leaves are unknown. Following Dong et al. (2017), we circumvented this problem by basing our independent theoretical predictions on a measure of *canopy-average* light availability ( $I_{\rm L}$ ), which declined relative to top-of-canopy light availability ( $I_{\rm L}$ ) as vegetation cover increases.

In some source datasets (e.g. Bloomfield et al., 2019), measurements were made on plants during very hot or dry periods, including plants suffering severe water stress. We adopted a conservative approach to removing measurements taken under extreme conditions, filtering out gas-exchange measurements indicating leaf-internal  $\rm CO_2$  concentration <60  $\mu$ mol mol <sup>-1</sup> or stomatal conductance <10 mmol m<sup>-2</sup> s<sup>-1</sup>, which suggest a breakdown of leaf function. We also removed records of  $\rm N_{area}$ <0.01 g m<sup>-2</sup>, which we assumed to be in error.

 $V_{\rm cmax}$  values were derived from individual field-measured  ${\rm CO}_2$  response (A– $c_i$ ) curves or, when these were lacking, estimated from field measurements of light-saturated photosynthetic rate ( $A_{\rm sat}$ ,  $\mu$ mol m $^{-2}$  s $^{-1}$ ), day respiration ( $R_{\rm day}$ ,  $\mu$ mol m $^{-2}$  s $^{-1}$ ), leaf-internal  ${\rm CO}_2$  partial pressure ( $c_i$ , Pa) and leaf temperature (T, K) by the one-point method (De Kauwe et al., 2016). The use of this method can sometimes lead to underestimation of  $V_{\rm cmax}$  (Burnett et al., 2019). The reported errors however do not exceed about 20%, indicating that the method is useful for large-scale surveys. The one-point formula is:

$$V_{\text{cmax}} = \left(A_{\text{sat}} + R_{\text{day}}\right) \left(c_{i} + K\right) / \left(c_{i} - \Gamma^{*}\right), \tag{1}$$

where  $K = K_C$  (1+O/ $K_O$ ),  $K_C$  is the Michaelis–Menten coefficient of Rubisco for carboxylation (Pa), O is the partial pressure of oxygen ( $O_2$ ) (Pa),  $K_O$  is the Michaelis–Menten coefficient of Rubisco for oxygenation (Pa) and  $\Gamma^*$  (Pa) is the  $CO_2$  compensation point in the absence of mitochondrial respiration. When dark respiration was measured, we used this as an approximation to day respiration (i.e. we ignored the light inhibition of leaf respiration, which can lead to mitochondrial respiration in the light being reduced relative to respiration measured in the dark). If no respiration measurement was available, the following approximation was used:

$$V_{\text{cmax}} \approx A_{\text{sat}} / \left[ \left( c_{i} - \Gamma^{*} \right) / \left( c_{i} + K \right) - b \right], \tag{2}$$

where  $b = 0.015 \text{ exp } [-0.018 (T_{leaf} - 298.15)]$  and  $T_{leaf}$  is the leaf temperature in kelvin. Equation (2) is the corrected version of Equation (3) in De Kauwe et al. (2016), with an additional (slight) temperature dependence of b—which De Kauwe et al. (2016) set at a constant value of 0.015—based on the global analysis of leaf dark respiration data by Wang et al. (2020). (Note that the effect of alternative treatments of leaf respiration on estimated  $V_{cmax}$  is presumed to be minor, as leaf dark respiration is usually only about 5% of A<sub>sat</sub>) De Kauwe et al. (2016) showed that if  $R_{\rm dark}$  estimated from  $V_{\rm cmax}$ was used instead of  $R_{\rm dav}$ , the result was a small bias, and a reduction in  $R^2$  from 0.98 to 0.95 when predicting  $V_{cmax}$  derived from traditional  $A-c_i$  curve fitting, rather than from one-point estimated  $V_{cmax}$ ). Reference values (at a common measurement temperature of 25°C) and temperature dependencies of  $K_C$ ,  $K_O$  and  $\Gamma^*$ , and the temperature dependency of  $V_{cmax}$ , were derived from in vivo determinations in tobacco leaves (Bernacchi et al., 2001). Reference values were  $K_{\rm C} = 39.97 \, {\rm Pa}, \, K_{\rm O} = 27,480 \, {\rm Pa}$  and (at sea level)  $\Gamma^* = 4.332 \, {\rm Pa}$ . The barometric formula (Davis et al., 2017) was used to estimate mean atmospheric pressure at site elevation (z), required to calculate  $c_i$ , O and  $\Gamma^*$ .

The primary data used to generate  $V_{\rm cmax}$  values consisted of measurements carried out at different controlled cuvette temperatures. Therefore, they required conversion both to standard temperature (25°C: hence  $V_{\rm cmax.25}$ ) to be comparable among sites and to local growth temperature ( $V_{\rm cmax.gt}$ ) for comparison with theoretical predictions. Conversion of observed  $V_{\rm cmax}$  from measurement temperature ( $T_0$ ) to target temperature ( $T_1$ ) was performed using the Arrhenius equation:

$$V_{\text{cmax}}[T_1] = V_{\text{cmax}}[T_0] \exp\left[(\Delta H/R)\left(1/T_0 - 1/T_1\right)\right], \tag{3}$$

where  $\Delta H$  is the activation energy of  $V_{\rm cmax}$  (66,530 J mol<sup>-1</sup> following Bernacchi et al., 2001), R is the universal gas constant (8.314 J mol<sup>-1</sup> K<sup>-1</sup>), and  $T_0$  and  $T_1$  are in kelvin.

## 2.2 | Independent theoretical predictions of $V_{cmax}$

According to the coordination hypothesis, the optimal value of  $V_{cmax}$  for plants acclimated to their current growth conditions ( $V_{cmax,et}$ ),

generally taken to be represented by averages during daylight hours over a period of a few weeks, can be approximated by the following formula (Togashi et al., 2018):

$$V_{\text{cmax et}} \approx \varphi_0 I_{\text{abs}} \left( c_i + K \right) / \left( c_i + 2\Gamma^* \right), \tag{4}$$

where  $\varphi_0$  is the intrinsic quantum efficiency of photosynthesis (molmol<sup>-1</sup>), that is, the number of carbon atoms fixed per photon absorbed under non-photorespiratory conditions [saturating CO2 and/ or low  $O_2$ : Skillman 2008, and  $I_{abs}$  is the photosynthetic photon flux density (PPFD) absorbed by leaves (µmol m<sup>-2</sup> s<sup>-1</sup>)]. The choice of value for  $I_{\rm abs}$  is important because predicted  $V_{\rm cmax,gt}$  is proportional to  $I_{\rm abs}$ . Here  $I_{abs}$  is taken to be 80% of  $I_{I}$ , the estimated canopy-average PPFD, given by inversion of Beer's law as  $I_1 \approx -k I_0 f_v / \ln (1 - f_v)$  where  $f_v$  is the fractional vegetation cover (a function of leaf area index, derived from satellite data) and k is the extinction coefficient, for which we assign the commonly used generic value of 0.5 (Dong et al., 2017). This formula yields values  $\approx k I_0$  for small  $f_v$  (thus accounting for the varying orientations of leaves, hence k < 1) and progressively declining values as f, increases (accounting for decreasing light availability with increasing canopy depth). Thus, it takes account of the expectation that canopyaverage  $V_{cmax}$  should be lower in communities with a higher leaf area index, due to greater shading.

The realized quantum efficiency, often denoted simply as  $\varphi$ , is the ratio of the assimilation rate (measured at low light intensity) to  $I_{\rm abs}$ . This ratio depends on  $c_{\rm i}$ . At current  $c_{\rm a}$ ,  $\varphi$  declines strongly with temperature due to increasing photorespiration (Skillman, 2008). In contrast, this decline does not affect the *intrinsic* quantum efficiency  $\varphi_0$ , which is independent of photorespiration. In light-adapted leaves, nonetheless,  $\varphi_0$  does respond to temperature variations—especially below about 15°C. We therefore applied an empirical expression for  $\varphi_0$  (as a function of temperature T, in °C) from Bernacchi et al. (2003):

$$\varphi_0 = \left(0.352 + 0.021 \, T - 3.4 \times 10^{-4} \, T^2\right) / 8,$$
 (5)

which takes a maximum value of  $0.085 \,\text{mol mol}^{-1}$  at  $30.9 \,^{\circ}$ C. Values of  $c_i$  (Pa) in Equation (4) were obtained by the least-cost hypothesis:

$$c_{\rm i} = \Gamma^* + \left(c_{\rm a} - \Gamma^*\right) \xi / \left(\xi + \sqrt{D}\right)$$
, where (6a)

$$\xi = \sqrt{[\beta (K + \Gamma^*) / 1.6 \eta^*]}$$
 (6b)

The parameter  $\xi$  (in units of Pa½) expresses the sensitivity of stomatal behaviour to vapour pressure deficit (D, in Pa). The scaling factor  $\beta$  is assigned the value 146, estimates under standard environmental conditions ( $T=25^{\circ}$ C, D=1kPa, z=0) based on a global analysis of leaf stable carbon isotope measurements (Stocker et al., 2020).  $\eta^*$  is the viscosity of water at growth temperature relative to its value at 25°C, which influences the cost of maintaining transpiration capacity (Prentice et al., 2014). The numerator of Equation (6b) increases with temperature while the denominator decreases, implying that  $c_i$  (all else equal) should increase with temperature. Both photosynthetic and

hydraulic effects must be considered to account for the full observed magnitude of the acclimated response of  $\chi$  to temperature (Prentice et al., 2014). Annual values of the ambient  $CO_2$  partial pressure ( $c_a$ , Pa) were derived from published measurements of the global average  $CO_2$  mole fraction, converting these to pressure units to allow for the effect of elevation.

The theory outlined above yields site-specific canopy-average predicted values of  $V_{\rm cmax,gt}$ . They were converted to standard temperature (25°C) by Equation (3), to test the possibility of using predicted  $V_{\rm cmax25}$  values in a model for  $N_{\rm area}$ .

# 2.3 | Independent theoretical predictions of optimal LMA

The leaf economics spectrum (Wright et al., 2004) can be explained by an extension of Kikuzawa's (1991) hypothesis that the relationship between LMA and leaf life span maximizes the lifetime-average net carbon gain. Wang et al. (2021) showed that optimal LMA according to this hypothesis was proportional to life span, and that the ratio between the two quantities varied as a function of climate. Multiple combinations of LMA and leaf lifespan (LL) in any one climate yield the same average net carbon gain. If leaf life span is unknown (as is commonly the case for evergreen species), the optimal site-mean LMA for evergreens can nonetheless be approximated (Wang et al., 2021) by:

$$\ln LMA_{ev} = 0.5 \ln I_{L}^* - 0.013 T + 0.25 \ln f + \beta_{\alpha,ev} \alpha + \beta_{0,ev}, \quad (7)$$

where the suffix 'ev' stands for evergreen,  $I_1$ \* is the daily (24 hours) total PPFD (mol m<sup>-2</sup> d<sup>-1</sup>) averaged over the thermal growing season (defined here as the period of the year with climatic average temperatures >0°C), T is the mean growing-season annual daytime (daylight-hours) air temperature (°C) estimated using Equation (9) below, f is the growing-season length as a fraction of the year,  $\alpha$  is defined as the ratio of actual to equilibrium evapotranspiration (ranging from zero to its canonical maximum value of 1.26) as estimated by the SPLASH model (Davis et al., 2017), and  $\beta_{a,\mathrm{ev}}$  (expressing the effect of hydroclimate on LMA for evergreen species) and  $\beta_{0,\mathrm{ev}}$  (a reference value of LMA for evergreen species) are empirical constants fitted to data. Equation (7) is based on the hypothesis that observed distributions of In LMA in evergreen plants represent the intersection of a prior, bivariate lognormal distribution of LMA and leaf life span [estimated by Wang et al. (2021) from a global data set] with a line representing the predicted optimal relationship between LMA and leaf life span under a given set of environmental conditions. Deciduous plants behave differently, because their leaf life span is set by the length of the growing season while their leaf life cycle is 1 year. For deciduous species, the optimal site-mean LMA is approximated by Wang et al. (2021):

$$\ln LMA_{de} = \ln I_L^* - 0.052 T + \ln f + \beta_{\alpha,de} \alpha + \beta_{0,de}$$
 (8)

where the suffix 'de' stands for deciduous, and  $\beta_{a.de}$  and  $\beta_{0.de}$  are empirical constants fitted to data on deciduous species. By regressing observed In LMA on the predictors (the variables on the right-hand sides of Equations (7) and (8), we can estimate general values of the empirical constants. The fitted intercepts  $(\beta_{0.ev}, \beta_{0.de})$  set the overall magnitude of predicted LMA for each phenological type but remain constant under different environmental conditions, allowing us to independently predict the patterns of variation in LMA among sites.

#### 2.4 | Climate and soils data

Climatological mean values of daytime-average air temperature (T, °C), vapour pressure deficit (D, Pa) and incident (top-of-canopy) PPFD ( $I_0$ ,  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>) during the thermal growing season were calculated for each site, based on monthly data (interpolated to daily timesteps) at 1 km resolution in the CHELSA dataset (Karger et al., 2017) and daily data at 0.5° resolution in the WATCH Forcing Data ERA Interim (WFDEI) bias-corrected climate reanalysis (Weedon et al., 2014) for 1980–2013, which is the longest common period for all climate datasets. The thermal growing season definition used here somewhat overestimates the actual growth period, for example, for temperate deciduous trees. We disregard this issue as this definition is widely used, and changes in the threshold temperature in our experience mainly affect the overall magnitude, but not the geographical pattern of variation, in T.

Mean daytime air temperature (*T*) is not provided in CHELSA or other global datasets that are based on direct spatial interpolation of weather-station observations. *T* was therefore estimated from daily temperature maxima and minima using the formula (Xu et al., 2021):

$$T = T_{\text{max}} \left( \frac{1}{2} + \frac{\sqrt{1 - x^2}}{2\cos^{-1}x} \right) + T_{\text{min}} \left( \frac{1}{2} - \frac{\sqrt{1 - x^2}}{2\cos^{-1}x} \right), \tag{9}$$

where  $T_{\rm max}$  is the daily maximum air temperature (K),  $T_{\rm min}$  is the daily minimum air temperature (K) and  $x=-\tan\lambda \tan\delta$  where  $\lambda$  is latitude and  $\delta$  is the daily solar declination, calculated using SPLASH (Davis et al., 2017). Equation (9) estimates the average air temperature during daylight hours, assuming that air temperature follows the same diurnal time course as potential solar radiation. T is a weighted average of  $T_{\rm min}$  and  $T_{\rm max}$ , approaching  $T_{\rm max}$  as days become shorter, and approaching the daily mean temperature as days become longer. Although Equation (9) is an approximation (in reality maximum temperatures lag solar radiation by several hours, while minimum temperatures typically occur just before dawn), it is preferred here to mean daily temperature (commonly used in trait analyses) as it more closely corresponds to the conditions under which photosynthesis occurs.

 $I_0$  was estimated from total daily incident shortwave radiation in WFDEI, assuming 2.04  $\mu$ mol J<sup>-1</sup> (photosynthetic photons per unit total short-wave radiation) and correcting for elevation by +2.7% per km (Davis et al., 2017). Canopy-average daytime PPFD ( $I_1$ ) and the average daily (24 hours) PPFD ( $I_1$ \*) followed the approximation of

Dong et al. (2017) as described above, with  $f_{\rm v}$  estimated from data in Zhu et al. (2013).  $I_{\rm L}$  values were divided by daylength to provide daytime averages.

*D* was estimated from specific humidity data in WFDEI, with saturated vapour pressure calculated at the mean daytime temperature obtained from Equation (9) using the same equation as Stocker et al. (2020).

Soils data (% clay, pH and C:N ratio) were obtained from the ISRIC-WISE Derived Soil Properties dataset at 30 arc second resolution for the top 30 cm of topsoil (Batjes, 2016).

## 2.5 | Theoretical sensitivities of $V_{cmax}$ and LMA to environmental variables

Partial regression slopes fitted to observations of a log-transformed response variable and several predictor variables can be numerically compared with the corresponding sensitivity coefficients derived from theory (e.g. Dong et al., 2017; Peng et al., 2021; Wang et al., 2017). These theoretical sensitivity coefficients can be obtained by taking partial derivatives of the log-transformed response variable with respect to each of the predictors. If the predictor also is log-transformed, the derivative is dimensionless. It can be understood as the logarithm of the percentage change induced in the response variable by a given percentage change in the predictor variable. For photosynthetic capacity:

- 1. The coordination hypothesis predicts a optimal value of  $V_{\rm cmax}$  at growth temperature ( $V_{\rm cmax,gt}$ ) that increases in direct proportion to daytime-average light. In other words, the sensitivity coefficient ( $\partial$  In  $V_{\rm cmax,gt}$  / $\partial$  In  $I_{\rm L}$ ) for  $I_{\rm L}$  is equal to unity.
- 2. Greater  $V_{\rm cmax}$  is needed to achieve a given photosynthetic rate at higher leaf temperatures. The temperature dependencies of K and  $\Gamma^*$  are principally responsible for this, because larger K and  $\Gamma^*$  imply reduced photosynthesis as temperature increases. Therefore, the optimal  $V_{\rm cmax,gt}$ , all else equal, increases with temperature. The formula for the sensitivity of optimal  $V_{\rm cmax,gt}$  to temperature (in  $K^{-1}$ , because log-transformation is usually inappropriate for temperatures) is a function of  $c_{\rm a}$  and temperature that subsumes the temperature responses of several processes.
- 3. Higher D promotes a shift in the optimal leaf-level investment strategy towards higher photosynthetic capacity and lower water-transport capacity (Dong et al., 2020; Prentice et al., 2014; Wang et al., 2017). This shift results in a smaller optimal \(\chi\), and a higher \(V\_{cmax}\) is required to achieve the same photosynthetic rate at a lower \(\chi\). The theoretical dimensionless sensitivity of optimal \(V\_{cmax,gt}\) to D is (Togashi et al., 2018):

$$\partial \ln V_{cmax,gt} / \partial \ln D = 0.5 c_a (1 - \Gamma^* / c_a) \chi (1 - \chi) [1 / (c_i + 2\Gamma^*) - 1 / (c_i + K)]$$
(10)

Theoretical sensitivities were evaluated under mean conditions (T = 21°C, D = 0.65 kPa, z = 567) with  $c_a = 36.07$  Pa (equivalent to  $380 \, \mu \text{mol mol}^{-1}$ ). The sensitivities of optimal ln  $V_{\text{cmax,gt}}$  to growth

temperature and ln D under standard conditions are  $0.082\,\mathrm{K}^{-1}$  and 0.056, respectively. For optimal ln  $V_{\mathrm{cmax}25}$ , the sensitivity to growth temperature is under standard conditions is  $-0.0061\,\mathrm{K}^{-1}$ . As these sensitivities vary with environmental conditions, we also evaluated them at each site, providing a range.

Sensitivities of optimal In LMA to environmental variables (light, temperature and growing-season length) are implicit in Equations (7 and 8). The theory developed by Wang et al. (2021) does not make quantitative predictions for the sensitivities of optimal LMA to the moisture index  $\alpha$ . However, it is expected that optimal LMA should decline with increasing  $\alpha$  in both evergreen and deciduous plants, resulting in negative values of the coefficients  $\beta_{\alpha'\text{ev}}$  and  $\beta_{\alpha.\text{de}}$ , as confirmed for observed LMA by Wang et al. (2021).

#### 2.6 | Data analysis

All analyses and graphics were conducted in R (R Core Team, 2020). Ordinary least-squares linear (simple or multiple) regression was performed using the 'lm' function. Results of multiple regressions were visualized as partial residual plots using the visreg package, in which for each predictor variable, the observed values of the response variable are adjusted so that all other predictors are held constant at their median values. The relative importance of different predictors was calculated using the Lindeman–Merenda–Gold (LMG) statistic. This measures the relative contribution made by a given predictor to increasing the  $R^2$  of the multiple regression, averaged over all possible orders in which the predictors are introduced. (Note that LMG statistics sum to 100%, i.e. they indicate the relative importance of different variables' contributions to the final  $R^2$ —not the absolute amount of variance that they explain.) LMG statistics were calculated using the 'lmg' function in the RELAIMPO package in R.

To test the hypothesis that global patterns of observed  $N_{area}$ reflect variations in observed LMA and  $V_{cmax25}$ , we regressed  $N_{area}$ on these two variables (Figures 1 and 2). Dong et al. (2017) showed that N-fixing species allocate more N per unit LMA than non-fixers; therefore, the factor 'N-fixer', and the interactions between 'Nfixer' and LMA, and between 'N-fixer' and  $V_{\rm cmax25}$ , were added. This initial analysis was followed by a model selection step in which non-significant predictors were eliminated. Analyses were run for the entire dataset and separately for major functional groups (nonwoody vs. woody species; and if woody, evergreen vs. deciduous species). N-fixers constituted 9.7% (298 species) of the full dataset, and similar fractions (8.6-13.0%) of each of the major functional groups. For completeness, alternative analyses were conducted in which the interaction between observed LMA and  $V_{\rm cmax25}$  was also included (Table S2). A further analysis was conducted using observed leaf nitrogen per unit mass  $(N_{mass})$  as the response variable, and the ratio  $V_{cmax25}$ /LMA as the (single) predictor variable. Note that  $N_{mass}$ ,  $V_{cmax25}$  and LMA are separately measured quantities. Thus,  $N_{mass}$ (unlike N<sub>area</sub>) is statistically independent of LMA.

To test the coordination hypothesis for  $V_{cmax}$ , we regressed observed log-transformed  $V_{cmax,gt}$  and  $V_{cmax25}$  on the three climatic

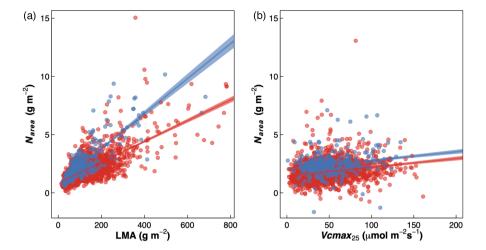
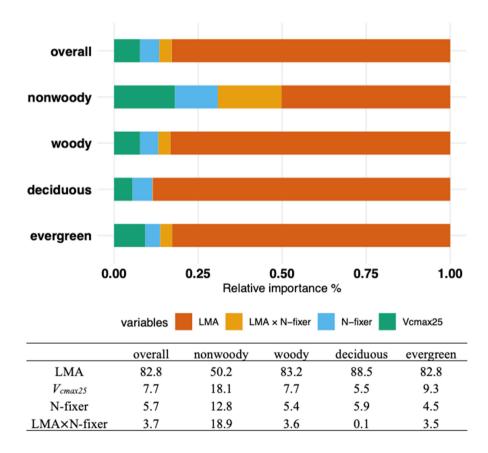


FIGURE 1 Partial residual plots of leaf nitrogen per unit area  $(N_{area}, gm^{-2})$  as an additive function of (a) leaf mass per area (LMA, g  $m^{-2}$ ) and (b) carboxylation capacity at 25°C ( $V_{cmax25}$ ,  $\mu$ mol  $m^{-2}$  s<sup>-1</sup>) across all species, based on the main regression equation of Table 1 ( $N_{area} \sim LMA + V_{cmax25} + LMA \times N_{fixer}$ ). Each plot shows values of  $N_{area}$  corrected by holding the other variable constant at its median value. Nitrogen-fixers ( $N_{fixer}$ ) are in blue, non-nitrogen-fixers in red. Coloured lines are partial regression lines; shading indicates 95% confidence bands.

FIGURE 2 Relative importance of each variable in explaining the variation of leaf nitrogen per unit area ( $N_{area}$ , g m $^{-2}$ ) across all species. Leaf mass per unit area (LMA, g m $^{-2}$ ), carboxylation capacity at 25°C ( $V_{cmax25}$ ,  $\mu$ mol m $^{-2}$  s $^{-1}$ ).



variables (T,  $\ln I_L$  and  $\ln D$ ) that are salient predictors of  $V_{\rm cmax}$  according to this hypothesis (Peng et al., 2021; Smith et al., 2019; Figure 3).

To test the optimality hypothesis for LMA, we regressed observed log-transformed LMA on the four climatic variables (T,  $\ln I_L$ ,  $\ln \alpha$  and  $\ln f$ ) that are salient predictors according to the extended Kikuzawa hypothesis (Wang et al., 2021; Wright et al., 2004; Figure 4). Evergreen and deciduous woody plants were analysed separately. We also analysed observed LMA of non-woody plants,

provisionally assigning species from cold-winter climates (f<1; 'cold' non-woody plants) to the deciduous group, and species from tropical/sub-tropical climates (f = 1; 'warm' non-woody plants) to the evergreen group.

To assess the potential for observed  $N_{area}$  to be predicted from climate, we compared observed values of  $N_{area}$  with predicted values of  $N_{area}$  based on independently calculated optimal LMA and optimal  $V_{cmax25}$  (Figure 5).

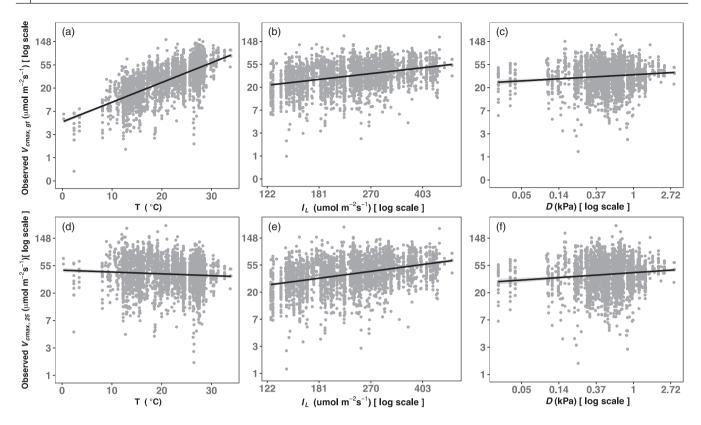


FIGURE 3 Partial residual plots of log-transformed carboxylation capacity at growth temperature ( $V_{\rm cmax,gt}$ , µmol m<sup>-2</sup> s<sup>-1</sup>) (a-c) and at 25°C (d-f) versus (a, d) growing-season daytime mean temperature (T, °C), (b, e) log-transformed growing-season daytime mean photosynthetic photon flux density ( $I_L$ , µmol m<sup>-2</sup> s<sup>-1</sup>), and (c, f) growing-season daytime vapour pressure deficit (D, kPa) across all species. Black lines are partial regression lines; grey shading indicates 95% confidence bands.

To assess the extent to which soil properties modify the relationships of leaf traits to climate, we analysed the bias of predicted optimal  $V_{\rm cmax25}$ , predicted optimal LMA and the estimate of  $N_{\rm area}$  obtained from these quantities, by multiple regression using % clay, pH and C:N ratio as predictors. Model bias was quantified as the logratio of predicted to observed values.

#### 3 | RESULTS

## 3.1 | N<sub>area</sub> as a function of other plant traits

A multiple linear regression with observed  $V_{\rm cmax25}$ , LMA, the factor 'N-fixer' and the interaction of LMA and 'N-fixer' as predictors accounted for 60% of variation in N<sub>area</sub> over all species (all predictors significant at p < 0.001: Table 1). The interaction of  $V_{\rm cmax25}$  and the factor 'N-fixer' was non-significant, and therefore removed. N-fixers however showed steeper observed N<sub>area</sub>–LMA slopes than non-fixers (Figure 1a) due to a significant interaction between 'N-fixer' and LMA (Table 1). The fitted positive relationships of observed N<sub>area</sub> to both observed LMA (Figure 1a) and  $V_{\rm cmax25}$  (Figure 1b) were highly significant (p < 0.001), but the explanatory power of the relationship to LMA was substantially larger than that for  $V_{\rm cmax25}$  (Figure 2).

Woody species comprised about 90% of the dataset (2806/3093 species); hence, analyses considering woody species alone yielded similar results to the all-species analysis (Table 1; Figure 2). N-fixers

allocated more N per unit LMA than non-fixers, as shown by the significant interaction between LMA and 'N-fixer'. When woody species were separated into phenological types,  $V_{\rm cmax25}$  explained a higher proportion of  $N_{\rm area}$  variation in evergreen than in deciduous species (Table 1). Among deciduous species, N-fixers had higher  $N_{\rm area}$  values than evergreen species, but the interaction of 'N-fixer' with LMA was non-significant (Table 1). LMA was by far the most important predictor (>80% of explained variance) of  $N_{\rm area}$  for woody species, irrespective of whether they were evergreen or deciduous (Figure 2). Even among non-woody species, encompassing a smaller range of variation in LMA than woody species, LMA was the most important predictor (>50%) of  $N_{\rm area}$ . In the overall analysis and the analysis of subsets, explanatory power ( $R^2$ ) and RMSE were close in out-of-sample tests where a randomly chosen 25% of the data were withheld and used for evaluation (Table S4).

Statistically significant negative interaction effects between observed  $V_{\rm cmax25}$  and LMA were also shown (Table S2: except in deciduous woody plants), indicating that the effect of  $V_{\rm cmax25}$  on leaf N is less in high-LMA leaves. The cause of this interaction is unclear. However, the inclusion of these interactions caused only very minor changes in the other regression coefficients, did not substantially increase explained variance and contributed less than 1% in terms of relative importance.

A linear regression across all species relating observed  $N_{mass}$  to the observed ratio  $V_{cmax25}/LMA$  yielded the equation  $N_{mass}=0.014$  ( $\pm 0.0002$ )+0.011 ( $\pm 0.0003$ )  $V_{cmax25}/LMA$ , accounting for 31%

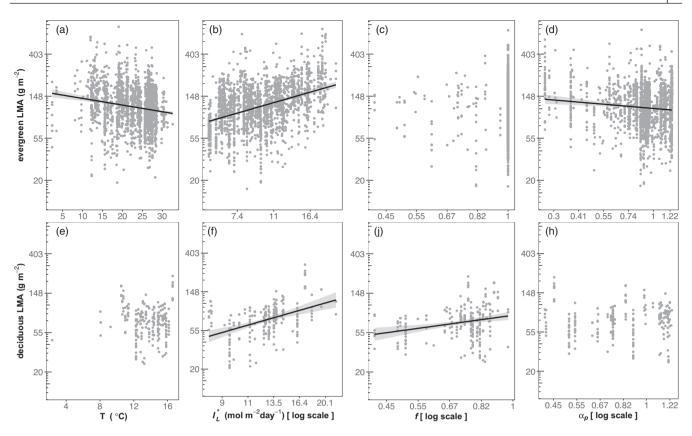


FIGURE 4 Partial residual plots of log-transformed leaf mass per unit area (LMA, g m<sup>-2</sup>) versus growing-season daytime mean temperature (T, °C), log-transformed growing-season mean daily total photosynthetic photon flux density ( $I_L^*$ , mol m<sup>-2</sup> day<sup>-1</sup>), growing-season length as a fraction of the year (f, day day<sup>-1</sup>), and actual/equilibrium evapotranspiration ( $\alpha$ , dimensionless) in evergreen (a–d) and deciduous (e–h) woody plants. Black lines are partial regression lines; grey shading indicates 95% confidence bands.

(p<0.001) of the variance in N<sub>mass</sub> (Figure S2). The relative importance of V<sub>cmax25</sub> and LMA was assessed by comparing this result with regressions against V<sub>cmax25</sub> and LMA alone, yielding LMG statistics of 80% for LMA and 20% for V<sub>cmax25</sub>. The simple bivariate relationships of both observed N<sub>area</sub> and N<sub>mass</sub> to observed LMA and V<sub>cmax25</sub> (Figure S3) were also shown for completeness.

Our analysis thus provides global-scale support for the hypothesis of Dong et al. (2017) that leaf N can be approximated as the sum of two components, one proportional to observed  $V_{cmax25}$  (based on gas-exchange measurements) and the other to observed LMA. It also underlines the importance of LMA, when considering all types of plants and climates, as a predictor of total leaf N.

### 3.2 $V_{cmax}$ as a function of climate

We estimated the climatic controls on observed  $V_{\rm cmax,gt}$  using multiple regression. Significant positive relationships were found for observed log-transformed  $V_{\rm cmax,gt}$  with respect to growing-season values of log-transformed PPFD (In  $I_{\rm L}$ ), temperature (T) and log-transformed D (In D; Table 2; Figure 3). These three variables together explained 48% of the variance in observed  $V_{\rm cmax,gt}$  (Table 2). The fitted slope for In  $I_{\rm L}$  (0.64, 95% confidence interval 0.57 to 0.71)

was somewhat smaller than the theoretical value of 1 (Table 2). The fitted slope for T (0.085, 95% confidence interval 0.081–0.089  $K^{-1}$ ) lay within the predicted range (0.06–0.12  $K^{-1}$ ). The fitted slope for In D (0.089, 95% confidence interval 0.055–0.124) straddled the top of the predicted range (0.00–0.09; Table 2; Figure 3).

We also estimated the climatic controls on observed  $V_{\rm cmax25}$  (Table 2; Figure 3). The same three variables together explained 18% of the variance in  $V_{\rm cmax25}$ . A significant negative partial relationship was found for observed  $V_{\rm cmax25}$  with respect to growing-season temperature (Table S8) with a slope (-0.007, 95% confidence interval -0.010 to -0.003 K<sup>-1</sup>) not significantly different from the predicted slope evaluated under mean conditions (-0.006 K<sup>-1</sup>). The partial relationships with  $\ln I_{\rm L}$  and  $\ln D$  were closely similar to those for observed  $V_{\rm cmax,gt}$ , as expected.

Our analysis thus further strengthens the support for the hypothesis of Dong et al. (2017), Smith et al. (2019) and others that  $V_{\rm cmax}$  optimally adjusts to the growth environment. The observed negative response of  $V_{\rm cmax25}$  (i.e. after the enzyme-kinetic response of  $V_{\rm cmax}$  to temperature has been removed) to growth temperature is consistent with experimental findings (Scafaro et al., 2017), as well as theoretical expectations. This relationship is weaker than the positive response of observed  $V_{\rm cmax,gt}$  to growth temperature, but significant nonetheless.

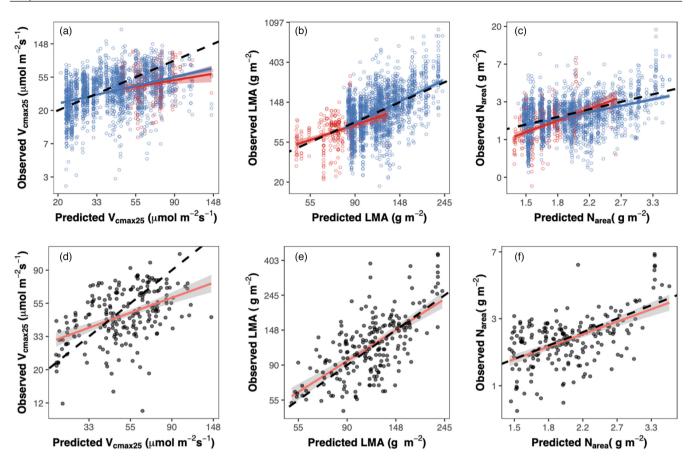


FIGURE 5 Predicted versus observed all-species (a–c) and site-mean (d–f) carboxylation capacity at 25°C ( $V_{cmax25}$ ,  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>), leaf mass per unit area (LMA, g m<sup>-2</sup>) and leaf nitrogen per unit area ( $N_{area}$ , g m<sup>-2</sup>). The dashed lines are the 1:1 lines. Coloured lines are regression lines; shading indicates 95% confidence bands. Blue: evergreen species, red: deciduous species.

N <sub>area</sub>					
	Overall	Non-Woody	Woody	Deciduous	Evergreen
LMA	0.009*	0.007*	0.009*	0.014*	0.008*
	(0.0002)	(0.0006)	(0.0002)	(0.0005)	(0.0002)
V <sub>cmax25</sub>	0.007*	0.010*	0.007*	0.006*	0.006*
	(0.001)	(0.001)	(0.001)	(0.001)	(0.001)
N-fixer	-0.045	-1.134*	-0.059	0.444*	-0.146
	(0.159)	(0.233)	(0.174)	(0.243)	(0.281)
LMA×N-fixer	0.006*	0.026*	0.006*	0.001	0.006*
	(0.002)	(0.004)	(0.002)	(0.003)	(0.002)
Intercept	0.535*	0.437*	0.557*	0.267*	0.596*
	(0.045)	(0.072)	(0.049)	(0.091)	(0.056)
df	3093	250	2806	690	2016
Adjusted R <sup>2</sup>	60%	73%	59%	65%	58%
RMSE	0.74	0.5	0.75	0.64	0.75

TABLE 1 Linear regression coefficients and (standard errors) for leaf nitrogen per unit area ( $N_{area}$ , g m $^{-2}$ ) as a function of leaf mass per area (LMA, g m $^{-2}$ ), carboxylation capacity at 25°C ( $V_{cmax25}$ ,  $\mu$ mol m $^{-2}$  s $^{-1}$ ), and the interaction term LMA  $\times$  N-fixer, for all species ('main') and for each functional group. \*Means p < 0.01; all other coefficients were non-significant (p> 0.05)

## 3.3 | LMA as a function of climate

For evergreens, in line with theoretical predictions, observed LMA was significantly negatively related to temperature (T, p<0.001) and the log-transformed index of plant-available moisture (In  $\alpha$ ,

p<0.001), and significantly positively related to log-transformed PPFD (ln  $I_L^*$ , p<0.001; Table 3; Figure 4). Observed LMA was also weakly related to growing-season length (ln f, p = 0.08). The four variables together explained 25% of the variance in observed evergreen LMA (Table 3; Figure 4). The fitted slopes were not

TABLE 2 Linear regression coefficients for log-transformed carboxylation capacity at growth temperature ( $V_{cmax,gt}$ ,  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>) and at 25°C ( $V_{cmax25}$ ,  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>) as a function of growing-season mean daytime temperature (T, °C), and log-transformed daytime growing-season mean photosynthetic photon flux density ( $I_L$ ,  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>) and daytime vapour pressure deficit (D, kPa). Relative importance is indicated by the Lindeman–Merenda–Gold (LMG) statistic, which quantifies the relative contribution of each variable to the final  $R^2$ 

Predictor	Fitted slope	95% confidence intervals	Range of predicted slopes	Relative importance (%)	p	$R^2$
At growth temp	erature					
In $I_{\rm L}$	0.636	0.565, 0.707	1	8	< 0.001	48%
Т	0.085	0.081, 0.089	0.06, 0.12	78	< 0.001	
In D	0.089	0.055, 0.124	0.00, 0.09	14	< 0.001	
At 25°C						
In I <sub>L</sub>	0.633	0.562, 0.704	1	71	<0.001	18%
Т	-0.007	-0.010, -0.003	-0.048, 0.017	8	< 0.001	
In D	0.091	0.056, 0.126	0, 0.090	21	<0.001	

TABLE 3 Linear regression coefficients for leaf mass per area as a function of growing-season mean daytime temperature (T, °C) and log-transformed growing-season mean daily photosynthetic photon flux density ( $I_L^*$ , mol m<sup>-2</sup> day<sup>-1</sup>), growing-season length as a fraction of the year (f), and actual/equilibrium evapotranspiration ( $\alpha$ , dimensionless) in evergreen and deciduous woody plants. Theoretical values are from Table S2 in Wang et al. (2021)

	Variable	Theoretical value	Fitted value	95% confidence intervals	p-value	$R^2$	df
Evergreen	In I <sub>L</sub> *	0.50	0.62	0.54, 0.71	< 0.001	25%	2009
	T	-0.013	-0.016	-0.02, -0.01	< 0.001		
	In f	0.25	(0.22)	-0.03, 0.48	0.08		
	In $\alpha$	n/a	-0.17	-0.26, -0.08	< 0.001		
	Intercept	n/a	3.72	3.50, 3.95	< 0.001		
Deciduous	In I <sub>L</sub> *	1	0.95	0.62, 1.29	< 0.001	18%	235
	T	-0.052	(-0.0003)	(-0.03, 0.03)	0.98		
	In f	1	0.55	0.26, 0.84	< 0.001		
	In $\alpha$	n/a	(0.06)	(-0.1, 0.22)	0.43		
	Intercept	n/a	2.00	1.34, 2.68	< 0.001		

statistically distinguishable from the theoretical slopes as given by Wang et al. (2021).

For deciduous species, also in line with theoretical predictions, observed LMA was significantly positively related to  $I_L$  and  $I_L$  and  $I_L$  and  $I_L$  and  $I_L$  and  $I_L$  and  $I_L$  are the variance in observed deciduous LMA. The fitted slope was not significantly different from the theoretical slope for  $I_L$  (0.95, 95% confidence interval 0.62–1.24, vs. 1; Wang et al., 2021), but the fitted slope for  $I_L$  (0.55, 95% confidence interval 0.26–0.84, vs. 1). No significant relationships were shown for  $I_L$  or  $I_L$  (0.75, 95% confidence interval 0.26–0.84, vs. 1). No significant relationships were shown for  $I_L$  or  $I_L$  (0.75, 95% confidence interval 0.26–0.84, vs. 1). When  $I_L$  is small sample size and/or the relatively narrow range of growth temperatures for deciduous species in this dataset.

Table S3 and Figure S4 showed corresponding results for non-woody species, based on smaller datasets. Observed LMA was shown to increase with  $I_{\rm L}$  in both groups ('cold' and 'warm'), albeit more steeply than predicted in the 'cold' group, and to increase in proportion to f in the 'cold' group. The 'cold' group also showed a decline of observed LMA with increasing temperature that is consistent with the theoretical prediction for deciduous species.

Our analysis thus supports the hypothesis of Wang et al. (2021) that large-scale patterns in evergreen LMA follow a predictable pattern, whereby local distributions of LMA are determined by the intersection of a prior distribution of LMA and LL and a climatically determined coefficient of proportionality between LMA and LL. It is also consistent with the predictions of Wang et al. (2021) for LMA in deciduous species, although not all predictors of deciduous LMA showed significant effects.

#### 3.4 | Predicted versus observed leaf traits

Our theoretical framework makes a single prediction of optimal  $V_{\rm cmax,gt}$  per site. We therefore considered the controls on sitemean trait values (excluding sites represented by less than three species). Good agreement was shown between site-mean values of observed  $V_{\rm cmax,gt}$  and predicted optimal  $V_{\rm cmax,gt}$  for the 217 study sites, with  $R^2=67\%$  and a slope not statistically distinguishable from unity (Figure S5). Figure 5 compared observed values of  $V_{\rm cmax,25}$ , LMA and  $N_{\rm area}$  with corresponding values of predicted

**TABLE 4** Linear regression coefficients for the bias (log-ratio of predicted to observed values, dimensionless) of the theoretical models for  $V_{cmax25}$ , LMA and  $N_{area}$  as a function of soil properties

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	Predictor	Fitted slope	р	R <sup>2</sup>
V <sub>cmax25</sub> bias	C:N	(0.03)	0.10	10%
	рН	(80.0)	0.06	
	% clay	-0.01	0.003	
LMA bias	C:N	(-0.01)	0.32	3%
	рН	(0.01)	0.83	
	% clay	0.01	0.04	
N <sub>area</sub> bias	C:N	0.03	0.02	3%
	рН	(0.02)	0.66	
	% clay	(0.001)	0.47	

optimal  $V_{\rm cmax25}$  and LMA, and  $N_{\rm area}$  as estimated from these two quantities for both species and site-means. In site-mean analyses, the optimality-based predictions explained 21% of the variance in observed  $V_{\rm cmax25}$  (Figure 5d) and 43% of the variance in observed LMA (Figure 5e). The corresponding figure for observed  $N_{\rm area}$  was 31% (Figure 5f). Analyses for all species (Figure 5a-c) accounted for smaller fractions of variance, as expected because environmental inputs are constant for each site. Slopes of predicted versus observed values were indistinguishable from unity except for  $V_{\rm cmax25}$ , which showed greater scatter than the other traits, and a lower slope. Scatter remaining in the site-mean analyses could have many causes, as the predictions involved numerous approximations—for example, in the estimation of effective growing-season length, daytime temperature and canopy-average light.

#### 3.5 | Model bias in relation to soil properties

We assessed the effects of soil properties on  $V_{\rm cmax25}$ , LMA and  $N_{\rm area}$  by analysing the residuals from the predictions of the optimality model. Table 4 and Figure S6 presented partial relationships of model bias to soil properties in a multiple regression. Percent clay showed a negative relationship with the model bias of  $V_{\rm cmax25}$  (i.e.  $V_{\rm cmax25}$  was underestimated on clay-rich soils). On the other hand, LMA was overestimated on clay rich-soils, leading to no net bias for predicted  $N_{\rm area}$ .  $N_{\rm area}$  bias showed a weak positive relationship with soil C:N ratio, that is,  $N_{\rm area}$  was overestimated on more organic soils.

## 4 | DISCUSSION

#### 4.1 | Components of leaf nitrogen

We fitted a model for  $N_{area}$  with just two components. These might be interpreted as structural (i.e. cell-wall) N and metabolic (primarily Rubisco) N, but the full picture is more complex (Onoda et al., 2017; Osnas et al., 2013). The component proportional to

LMA must also include metabolic N required for the functioning of all leaf cells, including nucleic acids and defence compounds (Dong et al., 2017). The greater allocation of N to the LMA component in N-fixers may reflect a greater reliance on N-containing defence compounds (as opposed to physical, carbon-based defences). The component proportional to V<sub>cmay25</sub> must also include N linked to multiple functions, including respiration, that are coordinated with carboxylation (Wang et al., 2020). In any case, both components were required to explain the global pattern of variation in leaf N. Our results highlight the importance of LMA in predicting leaf N, especially in woody plants, regardless of whether it is expressed as N<sub>area</sub> (increasing with LMA, as more leaf tissue inevitably means more N) or N<sub>mass</sub> (decreasing with LMA, as low-LMA leaves contain a larger fraction of N-rich photosynthetic cells). The percentage of variance explained by the all-species regression equation for observed  $N_{area}$  (60%) could be inflated because  $N_{area}$  was not statistically independent of observed LMA (being calculated from observed N<sub>mass</sub> and LMA). However, the corresponding equation relating observed  $N_{mass}$  to the observed ratio  $V_{cmax25}/LMA$  explained 31% of the variation in observed  $N_{mass}$ , indicating that the predictability of leaf N is not a statistical artefact.

Several caveats to our analysis are in order. The apparent LMArelated contribution to N<sub>area</sub> could be inflated by the assumption of infinite mesophyll conductance  $(g_m)$ , implicit in the use of A $c_{\rm i}$  (rather than A- $c_{\rm c}$ ) curves to estimate  $V_{\rm cmax}$ . This could cause underestimation of V<sub>cmax</sub>, especially in high-LMA leaves, which typically have low  $g_m$  (Flexas et al., 2013). Woody plant leaves may contain a larger fraction of inactive Rubisco compared to herbaceous plant leaves, due to sink limitation of photosynthesis (Stitt & Schulze, 1994; Warren & Adams, 2001), The LMA-related contribution, for woody plants especially, could thus partly be 'photosynthetic' N that is not reflected in field measurements of photosynthesis. Clarification of these points awaits further physiological research on leaf N partitioning. A final caveat is that  $V_{cmax}$  is not necessarily measured at its peak value. The theory to predict optimal LMA depends on the observed decline in photosynthetic capacity over time, implying that observed  $V_{cmax}$  values may be smaller than calculated optimal values. This tendency is probably minimized by standard sampling procedures (prioritizing outer-canopy leaves, and usually sampling in the middle part of the growing season) but inevitably introduces uncertainty, especially for deciduous species, whose photosynthetic capacity declines relatively rapidly during the season (Wang et al., 2021).

The dependency of observed total leaf N on observed LMA and  $V_{\rm cmax25}$  is, nonetheless, a robust empirical relationship. Indirect support for its mechanistic basis comes from the values of the coefficients. Analysis by Smith and Keenan (2020) indicated a Rubisco contribution of 0.004 g for each  $\mu$ mol s<sup>-1</sup> of  $V_{\rm cmax25}$ , and a cytochrome f contribution of 0.0008 g for each  $\mu$ mol s<sup>-1</sup> of electron transport capacity ( $J_{\rm max25}$ ). Assuming a ratio of  $\approx$  2 between  $J_{\rm max25}$  and  $V_{\rm cmax25}$ , these figures imply a total photosynthetic contribution of around 0.006 g leaf N per  $\mu$ mol s<sup>-1</sup> of photosynthetic capacity, similar to the coefficient of 0.007 (95% confidence

intervals 0.006–0.009) from our main analysis (Table 1). The coefficient for LMA (0.009) implies a realistic 'floor' of just under 1% N by mass.

# 4.2 | Acclimated responses of photosynthetic capacity

Our analysis supports the hypothesis that photosynthetic capacities are generally close to optimality based on the light, temperature and D conditions in which the plants are growing (Smith et al., 2019). The response of optimal  $V_{cmax,gt}$  to growth temperature is an increase and required to compensate for increased photorespiration (Dong et al., 2017; Smith et al., 2019). This must not be confused with the instantaneous, enzyme-kinetic response of V<sub>cmax</sub> to temperature, which is significantly steeper (Wang et al., 2020). Indeed, field-measured  $V_{cmax25}$  shows a compensating decline as growth temperature increases (Togashi et al., 2018). This is consistent with the coordination hypothesis because less Rubisco is required (Scafaro et al., 2017) to produce the same catalytic effect at a higher temperature—and this effect is stronger than the response of optimal  $V_{\text{cmax.gt}}$  to growth temperature (Dong et al., 2017). The instantaneous response operates over seconds to minutes. The time-scale of acclimation is not firmly established. but it is certainly slower. One recent study estimated a median response time of 1.5 months for the acclimation of  $V_{cmax,gt}$  (Jiang et al., 2020). Acclimation could show different temporal characteristics in evergreen versus deciduous canopies. Current knowledge on this topic is limited because of the paucity of studies involving repeated measurements of photosynthetic traits within a single growing season. We assume, however, that in deciduous canopies especially, most measurements will have been made near the seasonal peak of photosynthetic capacity.

Empirical slopes of observed  $V_{cmax,gt}$  with respect to PPFD, temperature and D in this study had the same signs as predicted relationships for predicted  $V_{cmax,gt}$ . The empirical relationship for PPFD, however, was shallower than the theoretical (proportional) response. In experiments on single species, although photosynthetic capacity was shown to respond steeply to the daily light integral, the response fell short of a 1:1 relationship (Poorter et al., 2019). This might be due to additional costs of producing high-capacity leaves that are not accounted for in our theory.

## 4.3 | Causes of the $V_{cmax}$ - $N_{area}$ relationship

The observed positive correlation between  $V_{cmax25}$  and  $N_{area}$  could originate in any of three ways: (1) leaf N may determine  $V_{cmax25}$ ; (2)  $V_{cmax25}$  may determine leaf N; and (3) both leaf N and  $V_{cmax25}$  may be regulated by some other factor(s) (Field & Mooney, 1986). These possibilities are not mutually exclusive.

The first explanation (Walker et al., 2014)—that leaf N determines  $V_{cmay 25}$ —is implicit in those models in which N uptake from

soils, and a fixed carbon allocation coefficient for foliage, combine to determine  $N_{area}$  and thence leaf-level  $V_{cmax25}$ . This can be considered as a 'supply side' interpretation of the relationship between leaf  $N_{cmax25}$ .

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However, the second explanation-that the metabolic component of leaf N is a consequence (rather than a cause) of  $V_{cmax/25}$ —is equally viable (Evans, 1989). Plants can alter carbon allocation between leaves and roots, allowing leaves to balance nutrient supply and demand. Allocation to roots is expected to be optimized such that the opportunity cost of photosynthesis foregone due to reduced canopy leaf area is matched by increasing access to nutrients (Givnish, 1987; Maire et al., 2013; Osone et al., 2008). Low soil N availability should then result in reduced carbon allocation to leaves and increased allocation below-ground-to roots, and possibly export to the rhizosphere (Fontaine et al., 2011). Moreover, there is no contradiction between this 'demand side' interpretation of the relationship between leaf N and  $V_{cmax25}$  and the widely observed 'N limitation' of primary production (i.e. N fertilization increases plant growth) under either ambient or elevated CO2. Plants subject to restricted N supply commonly respond by producing fewer leaves (rather than the same number of suboptimal ones), resulting in reduced primary production (Brix & Ebell, 1969). The fact that N-fixers do not show consistently higher rates of photosynthesis than other species (Adams et al., 2016), despite having access to an additional N source, further supports the hypothesis that leaf N is not generally limited by N supply. It remains to be determined, however, why N-fixers should allocate more N to LMA (Figure 1), given the high energetic costs of symbiotic N fixation.

Our results also provide some support for the third explanation. Some environmental characteristics (including aridity and high light intensity) promote both large LMA and high  $V_{\rm cmax}$ , and could therefore contribute to a positive correlation between  $V_{\rm cmax}$ 25 and  $N_{\rm area}$ .

### 4.4 | The role of soil properties

Optimality theory predicts that soil conditions should influence trait values by altering the costs of nutrient acquisition, which are presumed to be greater on less fertile soils (Givnish, 1979; Paillassa et al., 2020). A theoretical model based on climate alone underestimated  $V_{cmax25}$  on clay-rich soils. This finding could be related to the phosphorus (P) content of clay minerals, and the influence of soil P availability on leaf P content and photosynthetic capacity (Bloomfield et al., 2014). Our model also overestimated LMA on clay-rich soils, which could relate to the influence of soil P availability on plant carbon uptake (Yang et al., 2021). These biases offset one another, resulting in no significant relationship of model bias for  $N_{\text{area}}$  to soil clay content. Effects of pH on leaf traits have been found previously, for example, by Maire et al. (2015) and Dong et al. (2020). The present analysis showed no significant effect of soil pH on model bias for the three traits, when the other soil properties were included. However, we found a significant relationship between the model bias for N<sub>area</sub> and soil C:N ratio,

indicating that  $N_{area}$  on organic soils falls below values predicted by climate alone (Table 4).

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These relatively small effects of soil factors on model bias should be viewed with caution. Inferences about the contribution of soils to the determination of leaf traits based on large observational datasets are constrained by the general lack of direct site measurements of soil properties. This situation is problematic because soil variation is typically abrupt, so any gridded dataset can be an inaccurate guide to site-specific soil properties. Nonetheless, the significant effects shown for % clay on both  $V_{\rm cmax25}$  and LMA, and for C:N ratio on  $N_{\rm area}$ , suggest that a more complete analysis would likely reveal the effects of soil fertility factors modulating the optimal leaf-trait responses to the physical environment of growth.

## 4.5 | What do N addition experiments show?

Experimental N addition has sometimes (but not universally) been shown to increase both leaf N content and leaf-level photosynthesis—indicating that soil N availability can play a role in determining these quantities. Recent meta-analyses of N addition experiments (Firn et al., 2020; Li et al., 2020; Liang et al., 2020) have thrown some light on the apparent contradiction of leaf-level optimality versus soil N-availability controls on leaf N.

- Firn et al. (2020), analysing data from the worldwide NutNet experiment, showed increases in N<sub>mass</sub> resulting from experimental N addition. LMA did not change, implying that these increases were also reflected in N<sub>area</sub>. However, the range of variation in leaf N content across sites was much larger than this experimental effect, indicating dominant control of global-scale variation in leaf N by other environmental factors (see e.g. Figure 4 in Firn et al., 2020).
- 2. Liang et al. (2020) showed that experimental N addition (this meta-analysis included data from experiments with many different level of N addition) increased leaf-level photosynthetic rates on average by 13% and N<sub>area</sub> by 18%, while leaf area index (LAI) increased by 24% and total leaf biomass by 47%. This finding suggests that added N is preferentially allocated to produce new tissues.
- Both Liang et al. (2020) and Li et al. (2020) found that leaf-level N increased in response to N fertilization are transient, vanishing after 5–10 years. Short-term responses to added N may thus not accurately predict plants' behaviour under natural conditions.

From an optimality perspective, it is also important to note that fertilization experiments supply additional N without cost to the plant. Under natural conditions, the energetic costs of N acquisition have to be set against the photosynthetic benefits. This trade-off may limit plants' investment in N acquisition. In addition, the responses of an extant ecosystem to experimental N addition may not accurately represent the long-term adjustment of site-mean leaf N

through competition among species differing in LMA, a distinction noted by Osnas et al. (2018).

Current understanding of how N availability influences photosynthesis and leaf N is thus incomplete. Inferences from large-scale analyses of uncontrolled field data are constrained by the lack of in situ soil measurements, and the lack of independence among different dimensions of soil and climate variation. How nutrient supplies influence the photosynthetic versus structural components of leaf N may be better illuminated by controlled-environment experiments, but the interpretation of experimental results must take into consideration the energetic costs of N uptake from soil by plants growing under natural conditions, and it must be recognized that global patterns of site-mean leaf N are likely to be influenced as much by species distributions and interspecies competition as by acclimation at the level of individual leaves and plants.

#### 4.6 | Implications for vegetation modelling

We have shown that large-scale patterns in leaf N across sites can be predicted from climate based on optimality hypotheses via  $V_{\rm cmax25}$  and LMA. In complementary work, we have shown that decadal-scale, global declines in leaf and canopy N $_{\rm area}$  can be predicted successfully via optimality theory (Dong, Wright, et al., 2022). These findings suggest a need to revisit the mechanisms whereby N limitation is represented in coupled models of terrestrial carbon and nitrogen cycling.

Some models assume that leaf N is controlled by soil N availability, and that  $V_{\rm cmax25}$ , in turn, is controlled by leaf N (see e.g. Goll et al., 2017; Walker et al., 2014; Zaehle & Dalmonech, 2011). Our findings are not consistent with this assumption. The predictable relationships of both  $V_{\rm cmax25}$  and LMA from climate indicate important controls of these traits by factors that are independent of soil N availability. Nor are these findings unique to our analysis. For example, Gvozdevaite et al. (2018), studying forests and savannas in West Africa, noted that 'there was no relationship between [soil] [N] and [K] and foliar nutrients when expressed on area basis. Foliar nutrient concentrations expressed on mass basis and plotted against soil nutrient concentration demonstrated very similar patterns ... with P being the only nutrient showing significant correlation with soil nutrients' (p. 1917).

A more promising modelling approach involves leaf-level acclimation towards optimal  $V_{\rm cmax}$  accompanied by dynamic carbon allocation to leaves, depending on the balance of costs and benefits of N acquisition (McMurtrie & Dewar, 2013). Optimality theory also has the potential to quantify nutrient acquisition costs, thereby representing the role of soil fertility in modulating photosynthesis (Paillassa et al., 2020) and carbon allocation. Our analysis of model bias further suggests a need to extend current optimality theory to consider the effects of soil fertility on LMA.

Optimality concepts are becoming more extensively used in large-scale ecosystem and land-surface modelling, but always require empirical testing (Harrison et al., 2021). Some recent models

have implemented dynamic optimization of the partitioning of leaf N between carboxylation and electron transport (e.g. Ali et al., 2016; Haverd et al., 2018). One family of models, first introduced by Fisher et al. (2010), quantifies the relative costs of N acquisition via different pathways (including N fixation). More detailed consideration of these models, which differ substantially from one another in their assumptions, is beyond our scope. However, those empirical evaluations have been limited. In a global comparison (using a gridded dataset derived by machine learning from a large dataset of observed  $V_{cmax25}$ ) involving both empirical and optimality-based prediction schemes, one optimality-based model (LUNA) showed a negative correlation between simulated and observed  $V_{cmax25}$  (Luo et al., 2021). The model developed here is conceptually simple, parameter-sparse, and supported by independent data. Recognition of the key role of LMA in determining leaf N and successful prediction of site-mean LMA patterns are additional merits. We suggest that this approach could form the basis for a well-founded representation of C-N cycle coupling in future large-scale models.

#### **AUTHOR CONTRIBUTIONS**

Ning Dong, Iain Colin Prentice. and Ian J. Wright. conceived the study; Ning Dong, Owen K. Atkin, Keith J. Bloomfield1, Tomas F. Domingues, Sean M. Gleason, Vincent Maire, Nicholas G. Smith and Han Wang contributed the gas exchange data; Ning Dong compiled the data, performed the data analyses and created all of the graphics; Ning Dong wrote initial version with significant input from Iain Colin Prentice and Ian J. Wright with all other authors contributing to subsequent versions.

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#### CONFLICT OF INTEREST

There is no conflict of interest.

#### PEER REVIEW

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#### DATA AVAILABILITY STATEMENT

The compiled data are available at Zenodo https://doi.org/10.5281/zenodo.6831903 (Dong et al., 2022a). The codes are provided at https://doi.org/10.5281/zenodo.6301072 (Dong et al., 2022b).

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#### SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

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